

1           **Characterization of the contamination fingerprint of wastewater**  
2           **treatment plant effluents in the Henares River Basin (central Spain)**  
3           **based on target and suspect screening analysis**

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17   **KEYWORDS**

18   Wastewater; suspect analysis; contaminants of emerging concern; pharmaceuticals; risk  
19   assessment

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21   **HIGHLIGHTS**

- 22       • Five wastewater treatment plants were sampled in summer and autumn in  
23       central Spain
- 24       • Target analysis revealed 82 out of 162 emerging pollutants
- 25       • Suspect screening annotated 297 chemicals from a suspect list over 40000  
26       compounds
- 27       • RQs revealed that pharmaceuticals and pesticides pose high risk in the area
- 28       • WWTPs need to enhance their performance to decrease their discharges  
29       riskiness

30  
31   **ABSTRACT**

32   The scientific and societal interest in contaminants of emerging concern (CECs) has  
33   increased during the last decades due to their continued emission and their potential  
34   ecotoxicological hazards. Wastewater treatment plants (WWTPs) are generally not  
35   capable of eliminating them and are considered the main pathway for CECs to the  
36   aquatic environment. The number of CECs in WWTPs effluents is often so large that  
37   complementary approaches to the conventional target analysis need to be  
38   implemented. Within this context, multitarget quantitative analysis (162 compounds)  
39   and a suspect screening (more than 40000 suspects) approaches were applied to

40 characterize the CEC fingerprint in effluents of five WWTPs in the Henares River basin  
41 (central Spain) during two sampling campaigns (summer and autumn). The results  
42 indicated that 76 % of the compounds quantified corresponded to pharmaceutical active  
43 ingredients, 21 % to pesticides and 3 % to industrial chemicals. Apart from the 82  
44 compounds quantified during the target analysis, suspect screening increased the list to  
45 297 annotated compounds. Significant differences in the CEC fingerprint were observed  
46 between the summer and autumn campaigns and between the WWTPs, being those  
47 serving the city of Alcalá de Henares the ones with the largest number of identified  
48 compounds and concentrations. Finally, a risk prioritization approach was applied based  
49 on risk quotients (RQs) for algae, invertebrates, and fish. Azithromycin, diuron,  
50 chlortoluron, clarithromycin, sertraline and sulfamethoxazole were identified as having  
51 the largest risks to algae. As for invertebrates, the compounds having the largest RQs  
52 were carbendazim, fenoxycarb and eprosartan, and for fish acetaminophen, DEET,  
53 carbendazim, caffeine, fluconazole, and azithromycin. The two WWTPs showing higher  
54 calculated Risk Indexes had tertiary treatments, which points towards the need of  
55 increasing the removal efficiency of some substances in urban WWTPs. Furthermore,  
56 considering the complex mixtures emitted into the environment and the low dilution  
57 capacity of Mediterranean rivers such as those in the study area, we recommend the  
58 development of detailed monitoring plans and stricter regulations to control the  
59 chemical burden created to freshwater ecosystems.

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61

## 62 **1. INTRODUCTION**

63 The group of contaminants of emerging concern (CECs) constitute an heterogeneous  
64 group of substances, including pharmaceuticals and personal care products (PPCPs),  
65 pesticides, steroid hormones and industrial chemicals, among others <sup>1</sup>. The growth of  
66 the global population and enhancement of industrial, agricultural, health and sanitary  
67 systems over the last century has led to an increase in their production and emission to  
68 the environment <sup>2</sup>. Despite most CECs are found at trace levels in aquatic and terrestrial  
69 ecosystems, some are susceptible to cause ecotoxicological effects and potential  
70 hazards for human health (e.g. endocrine disruption, antibiotic resistance, mutagenicity,  
71 etc.) <sup>3-9</sup>.

72 Different public bodies such as the European Environment Agency (EEA) and the US  
73 Environmental Protection Agency (EPA), or international regulations like the European  
74 Water Framework Directive (WFD) have included some of these compounds in their  
75 monitoring programs. Among the candidates to enter the EU WFD and EPA monitoring  
76 list, some antibiotics (e.g., azithromycin, clarithromycin, erythromycin, amoxicillin and  
77 ciprofloxacin), natural and synthetic hormones (e.g., estrone (E1), 17 beta-estradiol (E2),  
78 17-alpha-ethinylestradiol (EE2), norethindrone), non-steroidal anti-inflammatories (e.g.,  
79 diclofenac), several pesticides (e.g., acrolein) and pesticide by-products (e.g., 3-  
80 hydroxycarbofuran), perfluoroalkyl substances (e.g., perfluorooctanoic acid and

81 perfluorooctane sulfonic acid) and plasticisers (e.g., nonylphenols) can be found <sup>10–12</sup>.  
82 Nevertheless, the list of anthropogenic compounds being detected in aquatic systems  
83 receiving urban, agricultural and industrial treated wastewaters is wider <sup>13–15</sup>, and no  
84 regulation or agreed monitoring programs are established for them.

85 Although there are many routes of entrance of CECs into the aquatic environment,  
86 including landfill leachates or agricultural runoff, wastewater treatment plants (WWTPs)  
87 have been described as one of the main pathways for CECs into aquatic ecosystems <sup>4,8,16</sup>.  
88 Conventional processes implemented in WWTPs are mainly designed to remove the  
89 organic load of urban wastewaters, and are not effective to achieve the complete  
90 elimination of CECs <sup>8,17–20</sup>. Therefore, the role of WWTPs in the elimination of CECs and  
91 the implementation of more efficient monitoring and management procedures have  
92 become a challenge. The polar nature of many of these compounds facilitates their  
93 spread in the aquatic environment, reaching different environmental compartments  
94 and making their presence ubiquitous <sup>1,8,21–23</sup>. Several factors such as the flow rate of  
95 the receiving water bodies, the sorption capacity to sediments, the microbial  
96 degradation processes, and photodegradation and other abiotic transformation  
97 reactions can affect the concentration of CECs in the aquatic environment <sup>8,17,24,25</sup>.  
98 Therefore, the occurrence of these micropollutants has to be controlled in surface  
99 waters <sup>8,9,16,17,26–29</sup> and in soil and sediments <sup>30,31</sup>. In addition, the chronic exposure of  
100 CECs in aquatic ecosystems can foster their bioaccumulation in aquatic organisms, such  
101 is so that CECs have been detected in wild fauna <sup>30,32–34</sup> and plants <sup>35</sup>. However, the  
102 potential environmental hazard of CECs mixtures is still poorly understood <sup>4,36</sup>.  
103 Moreover, the risk posed by the discharge of several WWTP effluents into rivers next to  
104 urbanized/industrialized areas with low dilution capacity is an issue of major concern <sup>37</sup>,  
105 which is particularly relevant in areas affected by water scarcity <sup>38,39</sup>.

106 Besides, traditional analytical techniques cannot cope with the myriads of substances  
107 present in WWTPs effluents, and thus a new paradigm independent of biased or  
108 directed analysis is needed <sup>40,41</sup>. Recent studies based on non-target and suspect  
109 screening have revealed the enormous potential for discovery of CEC's in such complex  
110 matrixes, and point them as a promising tool for monitoring and regulatory purposes  
111 <sup>41,42</sup>.

112 In this context, the main objective of this study was to evaluate the presence and  
113 exposure concentrations of a wide variety of CECs in effluents of 5 different WWTPs  
114 located in the Henares River basin (central Spain) during two sampling campaigns  
115 (summer and autumn) using both target and suspect screening approaches. Moreover,  
116 we aimed to identify the substances expected to pose an ecotoxicological hazard and  
117 that should be further monitored and controlled in WWTPs. An integrative assessment  
118 of the general risk of these mixtures was performed and the lack of information about  
119 their potential side effects in freshwater ecosystems with low dilution capacity is  
120 discussed. This study highlights the need of coupling novel analytical approaches, such  
121 as non-targeted analysis, with risk assessment information on vulnerable aquatic  
122 ecosystems exposed to WWTPs effluent discharges and water scarcity.

## 123 2. MATERIALS AND METHODS

### 124 2.1 Reagents and materials

125 The list of 162 target compounds included in the present study, comprising PPCPs,  
126 pesticides, and industrial products, and is provided in the Supplementary Information  
127 (SI, Table S1). The list includes substances of a wide variety of applications and chemical  
128 characteristics, known to be frequently detected in WWTP's effluents and some of them  
129 prone to be included in future monitoring programs due to their semi persistence or  
130 under study effects in biota (see section 2.8). The table includes the information about  
131 the supplier, molecular formula, purity, solvent used for stock preparation and surrogate  
132 applied for analyte recovery correction. Working solutions containing all the target  
133 compounds and surrogates at 3 µg/g and 10 µg/g, respectively, were prepared in  
134 methanol (MeOH, UHPLC-MS, Scharlab, Barcelona, Spain). For the chromatographic  
135 confirmation in the suspect analysis through the Retention Time Index platform  
136 (<http://rti.chem.uoa.gr/>, see section 2.5) a mix with the calibration compounds was also  
137 used<sup>43</sup>.

138 The preconcentration and extraction of the samples was performed with home-made  
139 triphasic solid phase extraction (SPE) cartridges using the following sorbents: reverse  
140 phase (Chromabond® HRX, 85 µm, 55-65 Å, Macherey-Nagel, Düren, Germany), anionic  
141 exchange (Septra ZT-WAX, 30 µm, 85 Å, Phenomenex, California, USA) and cationic  
142 exchange (Septra ZT-WCX, 30 µm, 85 Å, Phenomenex, California, USA). Frits and  
143 polypropylene cartridges (12 mL) were purchased to Supelco (Bellefonte, PA, USA).  
144 Solvents used at the SPE were MeOH (HPLC, 99.9%, Sigma Aldrich, St. Louis, MO, USA),  
145 ethyl acetate (HPLC, 99.9%, Sigma Aldrich), ammonia (25 %, Sigma Aldrich) and formic  
146 acid (HCOOH, >98 %, Panreac, Barcelona, España).

147 During the chromatographic separation step, formic acid, water and acetonitrile  
148 (UHPLC-MS grade) and ammonium acetate (NH<sub>4</sub>OAc, ≥ 99 %) provided by Fischer  
149 Scientific (Geel, Belgium) and Scharlab, respectively, were used in the mobile phase.

### 150 2.2. Sampling

151 Water samples were collected from the effluent discharge point of the five WWTPs  
152 noted in Figure S1 (SI) in central Spain in two different sampling campaigns: July and  
153 November of 2017. One liter water samples were collected and stored in amber glass  
154 bottles, which were subsequently transported to the laboratory and stored at -20°C. The  
155 wastewater treatment capacity and type of treatment used by each of the WWTPs  
156 included in this study is provided in Figure S1, while further information regarding the  
157 amount of sludge produced or detailed treatment steps can be obtained from Schell et  
158 al<sup>44</sup>. WWTPs 1, 4 and 5 discharge their effluents directly into the Henares River and treat  
159 wastewaters from cities with a noteworthy industry and high population density.  
160 WWTPs 2 and 3 correspond to smaller installations for lower equivalent habitants, and  
161 discharge their effluents into the Torote and Monjas streams, respectively, both  
162 tributaries of the Henares River. In turn, the Henares River is one of the biggest  
163 tributaries of the Jarama River, which flows into the Tagus River between the Madrid

164 and Castilla La Mancha autonomies in central Spain. The area of Alcalá de Henares is  
165 well-known as being one of the most industrialized areas in Spain, also called “Corredor  
166 del Henares”, composed by 33 municipalities between Madrid and Guadalajara with a  
167 population over 600,000 inhabitants, where approximately 9,800 companies are  
168 located. These companies embrace different fields including technological industry,  
169 heavy (e.g. iron and steel) and light (e.g. food) industries and chemical industries (e.g.  
170 laboratories, cosmetic and perfume manufacturing) are located, among others.

171 An extra sample was gathered in April 2018 in the Galindo WWTP (Biscay, Basque  
172 country, North Spain) and used for the validation of the analytical method applied here.

### 173 **2.3. Sample treatment**

174 Samples were transported at -20°C to the University of the Basque Country (UPV/EHU)  
175 in October of 2019 and kept t that temperature until processing. The stability of the  
176 monitored compounds was ensured with freezing and maintained storage until  
177 processing, but the degradation of other less stable compounds cannot be neglected,  
178 being thus the detection done here in the lower edge of the original pollution status.  
179 Once thawed, water was filtered (cellulose filters 0.7 µm, 90 mm, Whatman) and spiked  
180 with a deuterated standard mix (Table S1, SI) at 250 ng/L and processed according to a  
181 method previously validated in our research group<sup>19</sup>. Briefly, three replicates of 500 mL  
182 were extracted using in-house made SPE cartridges containing 100 mg of cationic  
183 exchange (ZT-WCX), 100 mg of anionic exchange (ZT-WAX) and 300 mg reverse phase  
184 (HRX) sorbents from bottom to top. Conditioning was done with 10 mL of MeOH: ethyl  
185 acetate (1:1, v/v) and 10 mL Milli-Q water, and after sample loading, the cartridges were  
186 eluted with 12 mL of MeOH: ethyl acetate (1:1, v/v) containing 2% ammonia and 12 mL  
187 of MeOH: ethyl acetate (1:1, v/v) 1.7 % formic acid. Both extracts were combined,  
188 evaporated on a Turbovap (Zymark, Hopkinton, USA) at 40 °C under a gentle N<sub>2</sub> flow and  
189 reconstituted on 250 µL MeOH: Milli-Q water (1:1, v/v). Final extracts were filtered with  
190 syringe filters (PP, 0.22 µm, 13 mm, Jasco Analítica, Madrid, Spain) onto amber  
191 chromatography vials and were kept at -20 °C until their analysis, always in less than one  
192 week time.

193 The sample used for method validation purposes (see section 2.6) was processed  
194 likewise, but spiked with the full list of standards (162) detailed in Table S1 (SI) prior to  
195 sample treatment (200 ng/L in original sample). Moreover, three procedure blanks using  
196 Milli-Q water and three replicates of Milli-Q water spiked with the full list of standards  
197 were processed together with the full set of samples.

198

### 199 **2.4. Chemical analysis**

200 The analysis was carried out with a Thermo Scientific Dionex UltiMate 3000 UHPLC  
201 coupled to a Thermo Scientific Q Exactive Focus quadrupole-Orbitrap mass  
202 spectrometer (UHPLC-q-Orbitrap) equipped with a heated ESI source (HESI, Thermo-  
203 Fisher Scientific, CA, USA).

204 Extracts were injected on an ACE UltraCore XB-C18 (2.1 mm x 150 mm, 1.7  $\mu$ m)  
205 chromatographic column with a pre-filter (2.1 mm ID, 0.2  $\mu$ m) from Phenomenex.  
206 Concerning the mobile phase, Milli-Q water (solvent A) and acetonitrile (solvent B), both  
207 containing 0.1 % formic acid (HCOOH), were used for the positive ionization mode. For  
208 the negative ionization mode, 5 mM of ammonium acetate were added to both solvents.  
209 The LC gradient started at 87 % A and it stayed constant for 30 s. Then, it had a linear  
210 increase to 50 % A at 10 min followed by another increase at 13 min to 5 % A with a hold  
211 of 0.5 min. Finally, it returned to the initial conditions at 19 min and it ended a hold of 2  
212 min. Flow rate was set to 0.3 mL/min, column temperature was 50 °C and 5  $\mu$ L were  
213 injected three times maintaining the automatic sampler at 5 °C.

214 The q-Orbitrap was operated in full scan – data dependent MS2 (Full MS-ddMS2)  
215 discovery acquisition mode for both positive and negative ionizations. The intensity  
216 threshold and dynamic exclusion for the data dependent were respectively  $8.0 \times 10^3$  and  
217 8s. The scan range was  $m/z$  70-1050, the Full MS had a resolution of 70000 FWHM for a  
218 200  $m/z$  relation, and it was followed by three ddMS2 scans with a resolution of 17500  
219 FWHM with an isolation window of 3  $m/z$ .

220 The stepped normalized collision energy (NCE) in the higher-energy collision dissociation  
221 (HCD) cell was set at 10-30-70 eV and 10-45-90 eV for the positive and negative mode  
222 respectively, the MS2 was a sum of the fragmentations obtained with the different  
223 energies. Positive and negative HESI source parameters were set to 3.5 kV spray voltage,  
224 300 °C capillary temperature, 40 arbitrary units (au) sheath gas (nitrogen), 15 au  
225 auxiliary gas, 280 °C auxiliary gas heater and S-lens RF level 55.0. Pierce LTQ ESI  
226 Calibration Solutions (Thermo-Fisher Scientific) were used for external calibration of the  
227 instrument every three days. The software used was Xcalibur 4.0 (Thermo-Fisher-  
228 Scientific).

## 229 **2.5. Data treatment**

230 The TraceFinder 5.1 (Thermo-Fisher Scientific) software was used for target analysis.  
231 Target compounds and their instrumental characteristics including molecular formula,  
232 ionization mode, retention time (tR) and experimental MS/MS fragments were added  
233 to the software library according to studies previously performed by the research group  
234 <sup>19</sup>. To avoid false positives, experimental tR window was limited to 60 second around  
235 the pure standard tR, mass error for parent and fragments was set as lower to 5 ppm  
236 and the isotopic profile match over 70 %. Calibration curves and peak integration were  
237 manually checked and peaks with a base width smaller than 0.1 min were rejected.

238 For the suspect analysis, the Compound Discoverer 3.1 (Thermo-Fisher Scientific)  
239 software was applied. Filters and workflow applied is summed up in Figure S2, SI. Only  
240 Lorentzian peaks were manually accounted. The NORMAN database (40059  
241 compounds, [www.norman-network.net](http://www.norman-network.net)) was used as suspect list with a fixed error  
242 lower than  $\pm 5$  ppm in the exact mass. The molecular formula suggested by the software  
243 were only accounted if MS1 was satisfactorily matched (SFit > 30 % and isotopic profile  
244 > 80 %). Minimum peak areas considered were set at  $1e^6$  and  $25e^6$  units for negative and

245 positive ionization modes, respectively. Additionally, only peaks 30 times larger than the  
246 blanks and with a relative standard deviation (% RSD) lower than 25 % within injection  
247 replicates were further studied. MS2 spectra was compared with mzCloud database  
248 (<https://www.mzcloud.org/>), and a match over 70 % was set for the positive  
249 identification of the feature. In the case that the MS2 was not available in mzCloud  
250 database, *in-silico* fragmentation was performed with the massFrontiers tool (Thermo-  
251 Fisher Scientific) implemented in Compound Discoverer 3.1, and a positive identification  
252 was considered when at least the 70 % of the largest fragments were explained. When  
253 standards of the candidates were available, experimental retention time was confirmed  
254 with an allowed error of  $\pm 0.1$  min. If not available, retention times were estimated from  
255 the Retention Time Index (RTI) platform (<http://rti.chem.uoa.gr/>) and candidates were  
256 rejected or accepted depending on whether there was a statistical difference or not with  
257 the estimated value within the uncertainty of the model built. Finally, identification  
258 criteria according to Schymanski and coworkers<sup>45</sup> was noted providing the candidates  
259 with a tentative code from 1 to 3 levels of identification. This scale is numbered from  
260 one to five being one the highest confidence level (features with their structure  
261 identified and confirmed by reference standard acquisition), and five the least one (only  
262 the exact mass of the compound can be provided). Two was assigned when a probable  
263 structure was found, and three, when a tentative candidate was identified.

## 264 **2.6. Analytical method quality parameters**

265 Calibration curves prepared in MeOH:Milli-Q water (1:1, v/v) were built within the  
266 instrumental limit of quantification ( $LOQ_{inst}$ ) and 500 ng/g range (given in mass  
267 concentration units as the standards were prepared weighting all the solutions for  
268 obtaining a more accurate value). Calibration points in the 0.1-50 ng/g range were  
269 injected in triplicate to calculate the  $LOQ_{inst}$ . The  $LOQ_{inst}$  were set as the lowest  
270 concentration level that, after triplicate injection, rendered RSD < 30 % and trueness >  
271 70 % between the theoretical concentrations and the concentrations estimated from  
272 the external calibration curve, and can be found in a previous work by Gonzalez-Gaya  
273 and co-workers<sup>19</sup>.  $LOQ_{proc}$  values were established as the theoretical concentration  
274 measurable and quantifiable in the original water sample taking into account the  $LOQ_{inst}$ ,  
275 the absolute recoveries and the preconcentration factor, and are included in Table S3  
276 (SI). As previously defined elsewhere<sup>19</sup>, the instrumental limits of identification ( $LOI_{inst}$ )  
277 were estimated as the lowest concentration for which the experimental and theoretical  
278 MS2 spectra match was equal or greater than 70 % and the retention time difference  
279 was lower than  $\pm 0.1$  min. Similarly to  $LOQ_{proc}$ , procedural LOIs ( $LOI_{proc}$ ) were estimated  
280 taking into account the  $LOI_{inst}$ , the absolute recoveries and the preconcentration factor  
281 (see Table S3).

282 Blank and spiked Milli-Q water samples, as well as spiked effluent water samples from  
283 Galindo (200 ng/L in original sample) were processed together with the studied samples  
284 to calculate the apparent recoveries of the analytical method. Apparent recoveries, used  
285 to evaluate the trueness of the concentrations reported for each analyte (including  
286 matrix effect and ion suppression evaluation), were calculated after the correction of

287 the analyte concentration with the corresponding isotopically labelled surrogate. The  
288 surrogate used for each target analyte is defined in Table 1S, SI. In the case of negatively  
289 ionized compounds, the recoveries are absolute recoveries since no standard for  
290 correction was available.

## 291 **2.7. Statistical analyses**

292 Principal Component Analysis (PCA) was used to identify the underlying factors (e.g.  
293 water load, sampling period), which would allow to distinguish the chemical  
294 fingerprinting of the different WWTP effluent samples studied here. The PCA was run in  
295 the PLS Toolbox 8.9.1 (2020, Eigenvector Research, Inc., Manson, WA USA) implemented  
296 in MatLAB R2019b software (Mathworks, Natick, NA), and the PCA models were built  
297 with auto scaled data (mean centered divided by standard deviation) and were validated  
298 using full cross validation. LOQ<sub>proc</sub> values were used for those compounds that were  
299 found at concentrations lower than the LOQ. The compounds that were not detected in  
300 any of the analyzed samples were not considered in the PCA.

301 Likewise, the list of suspects annotated in this work were analyzed through PCA. In this  
302 case, the areas provided by the software per each feature were studied using the tools  
303 available for multivariate data analysis in Compound Discoverer 3.1. software. The data  
304 was auto-scaled and centered before performing the PCA.

## 305 **2.8. Ecological risk assessment**

306 An Ecological Risk Assessment (ERA) was carried out following a risk quotient (RQ)  
307 approach according to the European Union technical Guidance Document <sup>46</sup>. In this  
308 study, RQs for chronic effects were calculated for each compound as the ratio of the  
309 measured environmental concentration (MEC) and the predicted no-effect  
310 concentration (PNEC).

311 Maximum concentrations for each compound measured among all the analyzed effluent  
312 samples were used as MEC values, which represent the “worst-case scenario” for this  
313 area of the Henares basin, assuming limited or no dilution capacity <sup>24,47</sup> (Table S2).  
314 Moreover, an individual ERA for the chemical mixtures contained in each WWTP effluent  
315 was calculated based on the Risk Index (RI) approach, calculated as the sum of the RQs  
316 for the individual substances and assuming concentration addition <sup>48</sup>. The PNEC values  
317 were calculated considering the lowest chronic toxicity data (no observed effect  
318 concentration, NOEC) collected from the ecotoxicology knowledge-base (ECOTOX  
319 database, <https://cfpub.epa.gov/ecotox/>) for several target species representing  
320 different trophic levels (algae/bacteria, invertebrates and fish), divided by an  
321 assessment factor (AF). Values of any compound not available in this site were obtained  
322 from the literature <sup>24,49</sup>, the Pesticides Properties (<http://sitem.herts.ac.uk/aeru/ppdb/>)  
323 and NORMAN Network data bases (<https://www.norman-network.com/nds/>) or  
324 calculated *in-silico* using the QSAR models included in the ECOSAR<sup>TM</sup> v. 2.0 software  
325 (ECOLOGICAL Structure Activity Relationship), in which the lowest toxicity prediction for



326 each taxon was chosen<sup>24</sup>. The AFs reflect the degree of uncertainty in the extrapolation  
327 from laboratory toxicity test data for a limited number of species to species-rich  
328 ecosystems. The AF applied for long-term tests was reduced when number of species  
329 tested increased<sup>50</sup>. An AF of 100 was set if only one long-term NOEC value was available,  
330 and an AF of 50 and 10 was used if two or three NOECs were available, respectively.  
331 Acute toxicity values (EC<sub>50</sub> lowest value) were used for the calculation of the PNECs<sup>24,49</sup>  
332 when no chronic NOEC values were found, by applying an AF of 1000. When the  
333 calculated RQ was  $\geq 1$ , a high potential environmental risk was indicated. RQ values  
334 between 0.1 and 1 were considered to result in moderate risks, and when RQs were  
335  $<0.1$ , the environmental risk was considered to be negligible.

336

### 337 **3. RESULTS AND DISCUSSION**

#### 338 **3.1. Analytical method quality parameters**

##### 339 3.1.1. Linearity, LOI and LOQs

340 Linearity of the calibration curves was confirmed with linear regression determination  
341 coefficient values ( $r^2$ )  $\geq 0.96$  in both, positive and negative ionization modes, except for  
342 the pharmaceutical terbinafine, with a  $r^2$  higher than 0.95.

343 Of the 162 xenobiotic compounds included in this study (table S3, SI), 144 showed LOI<sub>proc</sub>  
344 values lower than 25 ng/L concentration in the sample. LOI<sub>proc</sub> values of the remaining  
345 compounds (18) were between 30 and 151 ng/L. The vast majority compounds included  
346 in this study showed LOQ<sub>proc</sub> values below 30 ng/L, except for the pharmaceuticals  
347 amiodarone and amoxicillin, which exhibited LOQ<sub>proc</sub> values of 84 and 134 ng/L,  
348 respectively. These LOI<sub>proc</sub> and LOQ<sub>proc</sub> are comparable to those reported in previous  
349 European studies<sup>23,24,49,51,52</sup>.

##### 350 3.1.2. Recoveries and precision

351 As depicted in the box-whisker diagram in Figure S3 and table S3 (SI), adequate apparent  
352 recoveries were obtained in case of Galindo WWTP effluent with respect to the lower  
353 absolute recoveries obtained without any correction, proving that the use of selected  
354 isotopically labelled surrogates corrects the matrix effect in both the extraction and  
355 detection steps. The apparent recovery of 74 % of the studied compounds ranged  
356 between 60 and 140 %. The rest of the compounds (remaining 26 % of the total  
357 compounds) showed worse apparent recovery values due to the lack of a corresponding  
358 isotope labelled standard to be used as surrogate. Moreover, the presence of some  
359 studied compounds in the sample at similar or higher concentrations as spiked ones  
360 hampered the calculation of their apparent recoveries.

361 It must be highlighted that the use of isotopically labelled surrogates improved the  
362 calculated precision as well as the RSD of the studied compounds, obtaining, in general  
363 terms, values lower than 30 %, except for the antibiotic ofloxacin (RSD = 34 %).

### 364 3.2. Target analysis of CECs in WWTPs

365 Mean concentrations and the corresponding RSD values of the xenobiotic compounds  
366 found in the different WWTPs are summarized in Figure 1. A total of 82 xenobiotic  
367 compounds were detected in different sampling points, from which 62 of them were  
368 pharmaceuticals (76 %), 17 pesticides (21 %) and 3 industrial products (3 %) (Table 1).  
369 Among the most widely detected pharmaceuticals, antifungals (<LOQ-109,480 ng/L),  
370 antibiotics (<LOQ-19,459 ng/L), antihistaminic (<LOQ-55,638 ng/L), antihypertensives  
371 (<LOQ-4,225 ng/L) and antiinflammatories (<LOQ-1,425 ng/L) were included. It is  
372 noteworthy the fluconazole (antifungal) concentrations in the effluents of both WWTPs  
373 4 and 5, with values around 100 µg/L. Fluconazole is used against  
374 oropharyngeal/esophageal candidiasis, and thus frequently prescribed for female  
375 treatments and regular immunodeficiency<sup>53</sup> and is often detected in wastewater<sup>54</sup>.  
376 Ranitidine (antihistaminic) was found as well at high concentrations (up to 56,000 ng/L)  
377 in those two WWTPs, especially in autumn. It is used to reduce stomach acidity in ulcer  
378 and gastric reflux by regulating histamine<sup>55</sup>, and like fluconazole, is one of the most  
379 common pharmaceuticals prescribed and used in common diseases, thus prone to be  
380 found in domestic wastewaters<sup>56,57</sup>. In addition, there were high levels of caffeine in all  
381 WWTPs (30-48,508 ng/L), and particularly in 4, as well as cotinine, a nicotine metabolite,  
382 detected during summer in WWTPs 4 and 5 (1,799-56,817 ng/L). Regarding pesticides,  
383 fungicides and herbicides showed similar occurrence regardless of the wastewater  
384 effluents analyzed, only standing out the concentrations of fenpropimorph (1,858 ng/L)  
385 and chlortoluron (7,445 ng/L) in WWTP 4 during summer and in WWTP 2 in winter,  
386 respectively. Both substances are of wide use in cereals crops for the control of fungi<sup>58</sup>  
387 and grass weed<sup>59</sup>, respectively, and due to the agricultural land use in the area<sup>44</sup>  
388 transport of those to the WWTPs by atmospheric deposition, rainwater and run off  
389 cannot be excluded. In the case of industrial products, PFOS was only detected in the  
390 WWTP 5 at 7 ng/L, while the compounds benzothiazole and triethyl phosphate were  
391 found in all the samples in a concentration range between 50 and 450 ng/L in both  
392 sampling campaigns. Levels of compounds detected in this study are in agreement with  
393 others reported for the analysis of CECs in wastewater effluents<sup>5,17,23,24,30,49,51,52</sup>. A  
394 previous study performed in small rivers and streams within the area<sup>60</sup>, reports likewise  
395 the presence of many pharmaceuticals (i.e. acetaminophen, carbamazepine, valsartan)  
396 and remarks the occurrence of several pesticides, including the same detected in this  
397 study (i.e. imidacloprid, chlortoluron, propiconazole, tebuconazole) and even non  
398 authorized ones for agricultural use<sup>61</sup> such as diuron and carbendazim.

399 As a general trend, a major presence of emerging contaminants was detected in the  
400 WWTPs 4 and 5, regardless of the sampling period (i.e., summer and autumn). On the  
401 contrary, the effluents of WWTP 3 collected in summer and of WWTP 2 collected in  
402 autumn were the ones with a lower number of contaminants detected and at lowest  
403 concentrations. This was expected as WWTPs 4 and 5 are the largest in size, are located

404 in the metropolitan area of Madrid, and cope with the treatment of greater wastewater  
405 volumes and higher demographic concentration. Likewise, the concentrations of  
406 pesticides detected among the different WWTPs depicts that 5, 3 and 2 were the  
407 WWTPs with the largest occurrence of pesticides in summer. In addition, the general  
408 prevalence of pesticides in summer must be pointed out, in lieu of the case of WWTP 1,  
409 showing just the opposite.

### 410 **3.3. Suspect screening of the compounds present in the WWTPs**

411 Suspect screening was performed to further elucidate the presence of CECs in the  
412 WWTP effluent samples. Apart from the 82 compounds quantified using target analysis,  
413 a vast number of candidates were identified by means of the workflow described in  
414 Figure S2. They are included in Tables S4 and S5 in the SI for compounds annotated at  
415 levels 2-3, in the positive and negative ionization modes, respectively. Among them, 176  
416 tentatively identified as probable structures (level 2a or 2b) and 39 as tentative  
417 candidates (level 3), according to Schymanski and co-workers classification<sup>45</sup>. Tables S4  
418 and S5 in SI include the detailed information of each annotated as well as their  
419 occurrence in the analyzed samples.

420 Similar to the target analysis, WWTPs 4 and 5 provided not only a higher number of  
421 compounds (Figure S4, SI) but also the greatest areas for the detected compounds in  
422 both seasons, confirming consequently, the relation with the size, population and  
423 industrialization of the located area of both mentioned WWTPs (Alcalá de Henares).  
424 Among the annotated compounds, xenobiotics such as dimetridazole and  
425 metronidazole, used as antifungals or antiparasitics, and the pesticide carbetamide were  
426 registered. Also, PPCPs like the cosmetic ingredient panthenol, the plasticizer/surfactant  
427 PEG monolaurate, the antidepressant mianserin, the  $\beta$ -blocker oxprenolol, and few  
428 sedatives such as nordiazepam and clomethiazole were annotated as well as other non-  
429 regulated substances like pentedrone, an illegal drug. Most of them have been reported  
430 to be toxic<sup>62-65</sup> and pose adverse effects to wild fauna, and even if some of them are  
431 regulated (such as metronidazole, banned in some countries)<sup>65</sup>, they are not included in  
432 regular monitoring programs.

433 A wide range of compounds that differ in physicochemical properties were detected in  
434 this study in addition to other studies performed in effluents from other WWTPs<sup>66,67</sup>.  
435 This reveals the need of the development of a more appropriate treatment for the urban  
436 wastewaters to eliminate these active and non-regulated compounds as they can be  
437 found nearly in all aquatic ecosystems with unknown adverse effects in most of the  
438 cases. In addition to pharmaceutical compounds (the ones detected with more  
439 frequency), pesticides, including herbicides and fungicides, PCPs and industrial  
440 chemicals were also detected in WWTP effluents.

### 441 **3.4. Temporal and spatial analysis**

442 Possible correlations between sampling location, season or WWTP treatment were  
443 assessed by means of a PCA of the data obtained from wastewater effluent samples.

#### 444 3.4.1. Target analysis

445 In the case of target analysis, concentrations of the detected compounds among the five  
446 WWTPs were taken into account. Figure 2 depicts the scores (2a) and loadings plot (2b)  
447 of the two main principal components (PCs), explaining almost 50 % of the total  
448 explained variance. Based on the scores plot, the location of the WWTP is separated  
449 based on PC1 (explaining the 36 % of the total variance), being the WWTPs 4 and 5 the  
450 most different ones with respect of the others. As mentioned in the previous sections,  
451 they receive the wastewaters of an area with higher population density and industry,  
452 and consequently, are the WWTPs with the largest load of CECs. It must be highlighted  
453 that the area of Alcalá de Henares exceeds in population density with 194,000  
454 inhabitants the other sampling points, and thus, those WWTPs are the ones with higher  
455 water capacity, 31,000 and 75,000 m<sup>3</sup>/day, respectively for WWTP 4 and 5 (Figure S2).  
456 As it can be observed in the loadings plot, most of the compounds are correlated with  
457 the samples collected in WWTPs 4 and 5, prevailing pharmaceutical compounds  
458 including different antihypertensives (e.g., metoprolol, eprosartan, atenolol and  
459 valsartan), antibiotics (sulfapyridine, mycophenolic acid, trimethoprim), antifungals  
460 (fluconazole), anticonvulsants (gabapentin) and antiinflammatories (ketoprofen), among  
461 others. In addition, stimulant compound caffeine or industrial catalyzer triethyl  
462 phosphate also contribute as hidden important variables to the separation observed  
463 among the studied samples. Conversely, compounds directly related with samples from  
464 WWTPs 1, 2 and 3, are the ones in the negative part of the loadings plot, standing out  
465 pesticides such as myclobutanil, acetamiprid, tebuconazole and imidacloprid, and to a  
466 lower extent, some pharmaceuticals (e.g., clozapine, memantine, ropinirole or pindolol).  
467 The different land use and origin of the wastewaters (a map and brief description of the  
468 area can be found in Schell et al. <sup>44</sup>), with a more agricultural influence, may be pointed  
469 as the reason for the separation of these latter in the PCA space.

470 On the other hand, PC2 (explaining the 15 % of the total variance) is mainly related to  
471 the seasonal variability among the gathered wastewater effluent samples. The river flow  
472 is significantly lower in late summer as compared to spring or autumn, so lower dilution  
473 capacity and higher potential ecological risks during this season, as shown in a former  
474 study<sup>68</sup> was expected. Samples corresponding to the summer sampling campaign are  
475 grouped at the bottom of the scores plot, while the ones collected in autumn are  
476 projected in the positive axis of PC2. Based on the loadings plot, samples collected in  
477 autumn are characterized by higher loads of compounds, including mainly  
478 pharmaceuticals and pesticides. However, concerning samples from the WWTPs 2 and  
479 3, the separation among samples collected in summer and autumn based on PC1 – PC2  
480 scores plot is not that evident. This can be explained by the size of the treatment plant

481 itself - being those the smallest ones - or a consequence of other factors such as  
482 consumption patterns, climatology or detected analytes, among others.

### 483 3.4.2. Suspect screening

484 In the case of the results obtained in the suspect screening, areas of the identified  
485 compounds in the wastewater effluents were considered. Figure 3 shows the PC1 vs.  
486 PC2 score plot for the compounds detected in the positive and negative modes,  
487 respectively. The first two PCs explained the 52 % and 54 % of the total variance for the  
488 results obtained in positive and negative modes, respectively. Similarly, to the  
489 observations found for the multivariate data analysis using target results, PC1 of the  
490 scores plot is related to the distribution of the samples according to the location of the  
491 treatment plants, showing the difference between the wastewater effluent samples  
492 from WWTPs 4 and 5, and the rest of the samples. In addition, seasonality is observed  
493 based on the PC2 of the scores plot. In the case of the suspect screening, the seasonal  
494 variation is more evident when plotting PC3 versus PC1, as can be observed in Figure 4  
495 for the results obtained in positive and negative ionization modes, respectively (49% and  
496 52% of the total explained variance). WWTP 4 shows the largest differences between  
497 seasons, followed by 5, while number 3 exhibits a lower variability.

### 498 3.5. Ecological risk assessment

499 RQs calculated based on the highest concentration detected for each compound among  
500 the five different WWTPs are summarized in Figure 5. Several xenobiotic compounds  
501 exhibited RQs > 1 for the three representative taxonomic groups, indicating a potential  
502 ecological risk. According to the results obtained, algae seemed to be the organism  
503 groups with the highest potential risk, being different compounds the principal  
504 contributors (RQ > 1), namely the antibiotic azithromycin and the pesticide diuron,  
505 which exhibited the highest RQs, followed by chlortoluron and clarithromycin.  
506 Moreover, the antibiotic sulfamethoxazole, the pesticide fenpropimorph and the  
507 antidepressant sertraline, among others, also indicate a moderate risk for algae. In  
508 general, the calculated RQs for invertebrates were lower as compared to the other  
509 taxonomic groups. However, RQs higher than one were calculated for the pesticides  
510 carbendazim and fenoxycarb, and the antihypertensive eprosartan. RQs obtained for  
511 fish present a great environmental concern attributable, mainly, to the analgesic  
512 acetaminophen and the pesticide DEET, and to a lower extent, to the pesticide  
513 carbendazim, the stimulant caffeine, the antifungal fluconazole and the antibiotic  
514 azithromycin. It is noteworthy that the effect of pesticides and herbicides (unexpectedly  
515 found in the effluents, as they might come from agriculture, or from urban parks and  
516 gardens), pose a high risk to non-target fauna once released into freshwater ecosystems,  
517 even after wastewater treatments, as suggested by other authors<sup>69,70</sup>. The effects of  
518 pesticides, even non authorized ones (diuron, carbendazim), have been previously  
519 noticed in the area<sup>68</sup>, and their occurrence in wastewater effluents and riverine waters<sup>60</sup>

520 demonstrates the need of the evaluation of their use and more restrictive controls.  
521 Moreover, the risk posed by pharmaceuticals of different groups such as antibiotics,  
522 antidepressants or antihypertensives, should be further examined in order to achieve  
523 more effective removal methods in urban WWTPs.

524 The aforementioned results are in line with recent literature for emerging contaminants  
525 in wastewaters<sup>31,49</sup>, freshwaters<sup>24,26,70</sup> and marine waters<sup>26,69</sup>, even if the higher RQs  
526 observed here are due to pesticides and not only posed by pharmaceuticals, as shown  
527 in former studies<sup>4,13</sup>. The above findings are a clear example of the need to optimize the  
528 elimination treatments of these emerging compounds in WWTPs, to develop continued  
529 chemical and biological monitoring..

530 The combined RIs of each individual WWTP per season can be seen in Table 2. The  
531 mixture of compounds is expected to result in high risks for algae in WWTPs 3 and 5,  
532 mostly attributed to the generally high concentration of CECs of different classes in  
533 WWTP 3, and mainly due to the high concentration of the herbicide diuron found in both  
534 campaigns in WWTP 3. Even if these two WWTPs are the only ones with tertiary  
535 treatments including sand filtration and phosphorous elimination (Figure S2, SI), the  
536 concentration levels of CECs emitted into surface waters are expected to pose some  
537 environmental risks. WWTPs 1 and 2 exhibit the lowest RIs, being, nevertheless, all  
538 higher than one and thus posing a relevant risk for algae, invertebrates and fish in the  
539 receiving waters. It should be highlighted that in this study no dilution factors from the  
540 rivers have been applied<sup>38</sup>. Just to notice, the average annual flow in the first water  
541 gauging station after the effluents, located right after the Torote's river confluence with  
542 the Henares, is 10.5 m<sup>3</sup>/s (1.2-55.6 m<sup>3</sup>/s annual range between 1912 and 2017, the  
543 whole dataset available)<sup>71</sup>. The total effluent discharge of the five studied WWTPs  
544 (Figure S2, SI) accounts for approximately the 20 % of the mean annual discharge,  
545 meaning that the average dilution factor to consider would be about 5. However, the  
546 high seasonality of the smaller Torote and Monjas' streams, which may be exacerbated  
547 under the global climate change<sup>38</sup>, makes the approximation of this worst-case scenario  
548 very close to the actual situation posed by the combined WWTPs, remaining most of the  
549 values over 1 in the most optimistic calculations.

550 The combined effects of the detected pollutants should be further studied, , paying  
551 special attention to potential synergisms among them.. Moreover, the long-term effects  
552 of these contaminant mixtures on fresh water organisms are yet unknown, potentially  
553 resulting in a biodiversity decline<sup>72</sup>. Thus, the enhancement of WWTPs processes to  
554 remove xenobiotics from the effluents in areas with low dilution capacity should be  
555 prioritized<sup>4,70,73</sup>. Additionally, it should be mentioned that the ERA performed here  
556 disregard possible synergic effects caused by complex CEC mixtures, which may increase  
557 the potential ecological risk posed to aquatic organisms.

558

#### 559 4. CONCLUSIONS

560 Target analysis and suspect screening of contaminants of emerging concern was carried  
561 out in effluents of five WWTPs in the upper Tagus river basin at two different sampling  
562 campaigns in summer and autumn. Antibiotics, antifungals, antihypertensives,  
563 antihistaminics and anti-inflammatories were among the pharmaceuticals quantified at  
564 the highest concentration, while pesticides and other industrial compounds, including  
565 benzothiazole, triethyl phosphate or PFOS were detected at trace levels. Suspect  
566 screening resulted in an efficient complementary tool to increase the number of  
567 compounds detected from the 82 analytes followed in the target analysis to up to 176  
568 and 39 xenobiotics annotated at levels 2a-2b (probable structure found) and 3 (tentative  
569 candidates), respectively. According to the obtained results non-regulated  
570 pharmaceuticals such as mianserin, nordiazepam, clomethiazole or oxprenolol, personal  
571 care product compounds like panthenol or PEG monolaurate and pesticides such as  
572 dimetridazole, or metronidazole, to mention a few of the toxic compounds found with  
573 the non-targeted analysis, should be included in future quantitative analyses. The results  
574 of both the target and suspect screening allowed to find clear differences between  
575 effluent wastewater samples from largest WWTPs named 4 and 5, and the other three  
576 assessed stations. Moreover, temporal differences were observed, and further research  
577 should be performed to confirm those in future sampling campaigns, since this only  
578 corresponded to a one-year period. The environmental risk assessment carried out  
579 clearly showed the need to implement new technologies in WWTPs for a further  
580 elimination of contaminants of emerging concern. The most relevant compounds in  
581 terms of their ecotoxicological risk assessment were identified. The highest risk values  
582 ( $>>1$ ) were obtained for azithromycin, diuron, chlortoluron, fenoxycarb, acetaminophen  
583 and DEET, affecting algae, invertebrates and fish according to the calculated RQs.  
584 Interestingly, many pesticides drive the general risk even in WWTP effluents. The  
585 combination of the risk posed by the five WWTPs in the study area, even taking into  
586 account an averaged dilution factor, is of high concern for the Henares River basin. Thus,  
587 these results support the need of a wider regulation of compounds and the  
588 enhancement of the WWTPs performance and the monitoring conditions (non-directed  
589 approaches, mixtures assessment, accumulative effects in basins with low dilution  
590 capacity or highly vulnerable to global climate change) to protect the aquatic  
591 environment from xenobiotics.

592

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918 **Figure Captions**

919 Figure 1. Sum of concentrations (ng/L) of all the quantified target compounds by application.  
920 Compounds <LOQ were not accounted in the sum.

921 Table 1. Individual concentrations of all the quantified target compounds by application in the  
922 five WWTPs in summer (June, J) and autumn (November, N).

923 Figure 2. PCA biplot for target compounds based on sample scores (a) and compound loadings  
924 (b).

925 Figure 3. PCA biplot showing the suspect analysis results in the (a) positive and (b) negative  
926 mode. PC1 and PC2 show the differences between WWTPs.

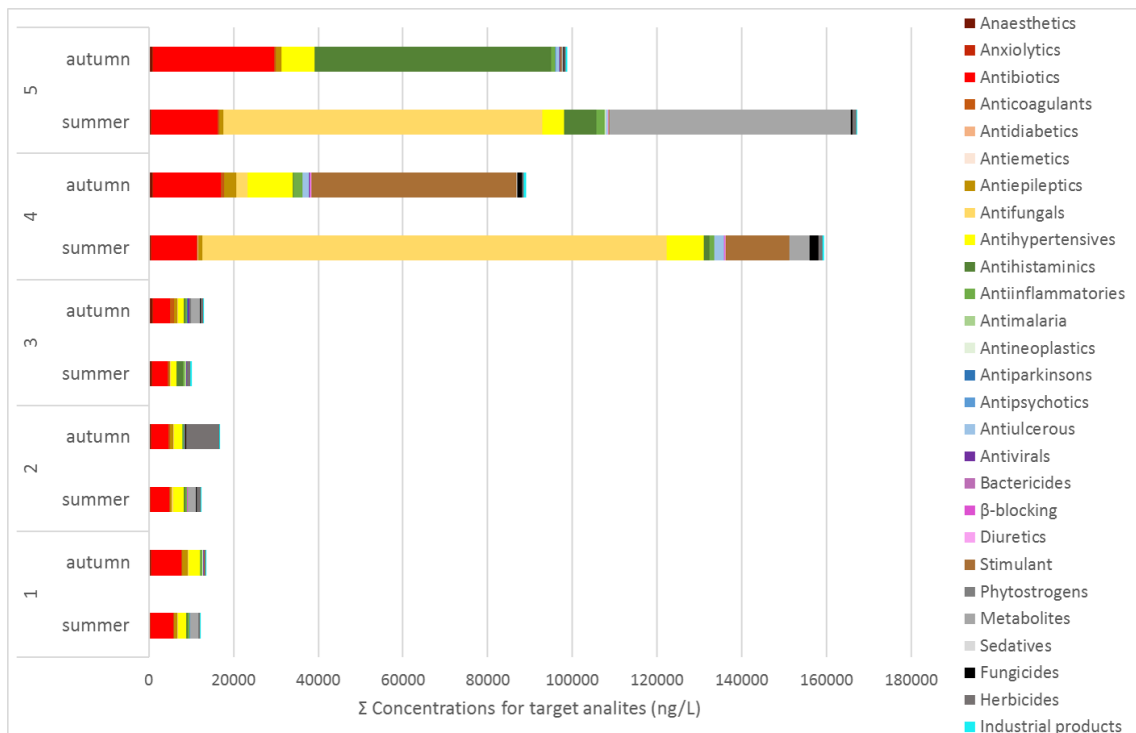
927 Figure 4. PCA biplot showing the suspect analysis results in the (a) positive and (b) negative  
928 mode. PC1 and PC3 show the temporal differences.

929 Figure 5. Calculated RQs for each detected compound in the target analysis considering the  
930 maximum measured concentration. The compounds are sorted alphabetically from  
931 acetaminophen to hydrochlorothiazide (a), and followed by hydroxychloroquine to verapamil  
932 (b).

933 Table 2. RIs of each individual WWTP per season.

934

935 Fig. 1

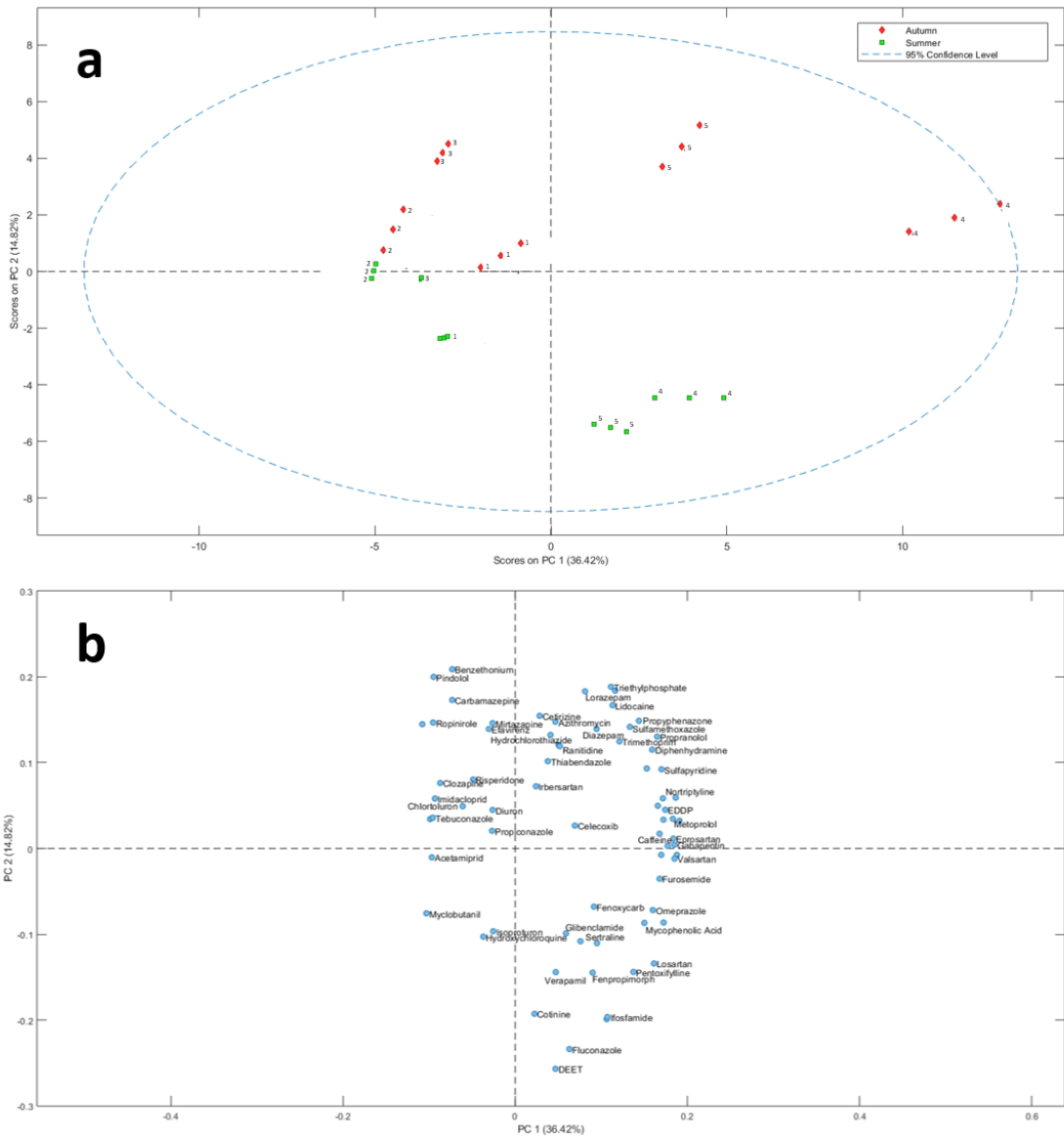


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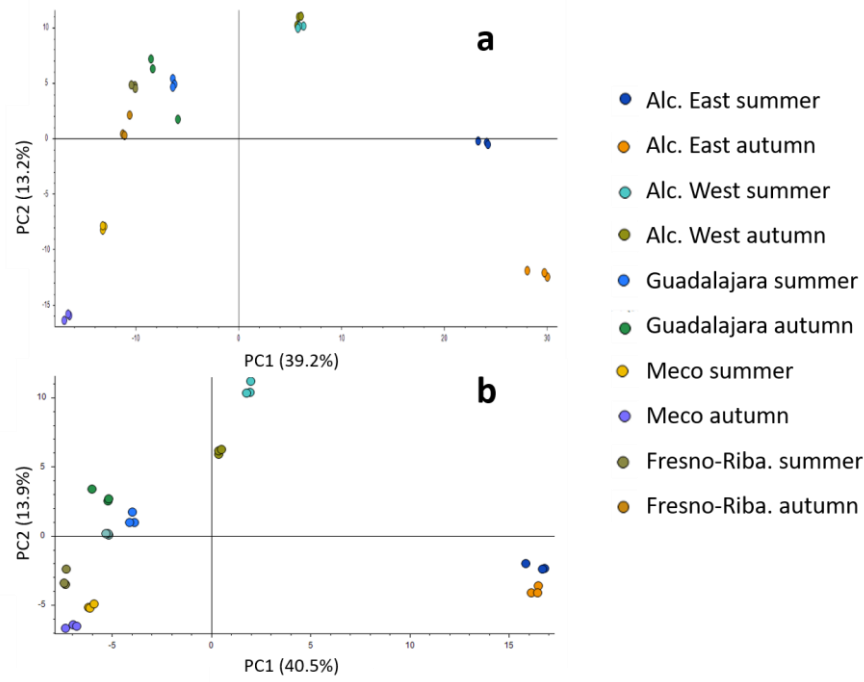
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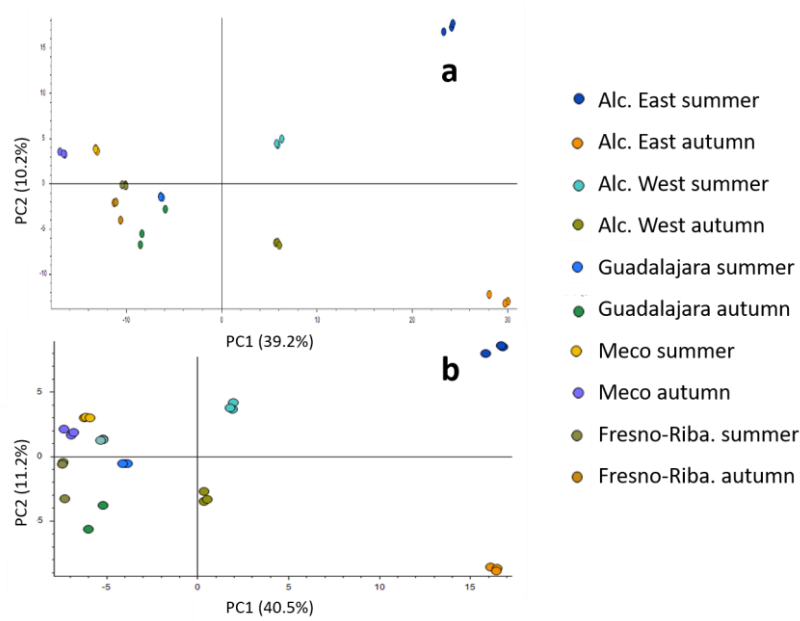
951 Fig. 3



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954 Fig. 4



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