

# Structural control of the non-ionic surfactant alcohol ethoxylates (AEOs) on transport in natural soils

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**ABSTRACT.** Surfactants, after use, enter the environment through diffuse and point sources such as irrigation with treated and non-treated waste water and urban and industrial wastewater discharges. For the group of non-ionic synthetic surfactant alcohol ethoxylates (AEOs), most of the available information is restricted to the levels and fate in aquatic systems, whereas current knowledge of their behavior in soils is very limited. Here we characterize the behavior of different homologs (C12–C18) and ethoxymers (EO3, EO6, and EO8) of the AEOs through batch experiments and under unsaturated flow conditions during infiltration experiments. Experiments used two different agricultural soils from a region irrigated with reclaimed water (Guadalete River basin, SW Spain). In parallel, water flow and chemical transport were modelled using the HYDRUS-1D software package, calibrated using the infiltration experimental data. Estimates of water flow and reactive transport of all surfactants were in good agreement between infiltration experiments and simulations. The sorption process followed a Freundlich isotherm for most of the target compounds. A systematic comparison between sorption data obtained from batch and infiltration experiments revealed that the sorption coefficient ( $K_d$ ) was generally lower in infiltration experiments, performed under environmental flow conditions, than in batch experiments in the absence of flow, whereas the exponent ( $\beta$ ) did not show significant differences. For the low clay and organic carbon content of the soils used, no clear dependence of  $K_d$  on them was observed. Our work thus highlights the need to use reactive transport parameterization inferred

38 under realistic conditions to assess the risk associated with alcohol ethoxylates in subsurface  
39 environments.

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41 **Main findings:** AEOs sorption coefficients were lower and with less variability in infiltration than  
42 batch experiments. Similar behaviour in natural systems between homologs and between  
43 ethoxymers. No dependence of sorption coefficient on low clay and organic carbon content.

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## 45 **1. Introduction**

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47 Surfactants are among the most widely used synthetic chemicals globally, with applications in the  
48 formulation of pesticides, paints, pharmaceuticals, wetting agents, pulp and paper industries, and  
49 personal care products, among many others, but their main use is in the formulation of domestic  
50 and industrial detergents. After use, surfactants enter the environment through diffuse, *e.g.*, runoff,  
51 and point sources, mainly from urban and industrial wastewater discharges. Although the removal  
52 rate of most these compounds during wastewater treatment is often very high (95–99%; Matthijs  
53 et al., 1999; McAvoy et al., 1998), they can reach terrestrial environments through irrigation using  
54 reclaimed water or application of sludge as fertilizer in agriculture (Topp et al., 2012). As a result  
55 of their widespread and high volume of use, surfactants have been detected in a variety of  
56 continental and marine aquatic systems (González-Mazo et al., 1998, 2002; León et al., 2001).  
57 Most of the studies focused on the anionic linear alkylbenzene sulfonates (LAS) (Eichnorn et al.,  
58 2002; Carlsen et al., 2002; Ding et al., 1999) and the non-ionic alkylphenol ethoxylates (APEOs)  
59 (Jonkers et al., 2003; Ferguson et al., 2001). Their presence and fate in subsurface environments  
60 have received been widely studied. In aquifers, the degradation of alkylphenol ethoxylates has  
61 been detected, producing ethoxycarboxylated alkylphenols and alkylphenols (Ahel et al., 1996;  
62 Montgomery-Brown et al., 2003; Guang-Guo, 2006; Tubau et al., 2010), which are estrogenic.  
63 The presence of sulfophenyl carboxylic acids derived from linear alkylbenzene sulfonate degradation  
64 has also been detected in groundwater (Krueger et al., 1998; Tubau et al., 2010). However, the  
65 characterization of their percolation has received less attention. Percolation experiments performed  
66 for LAS shown that their retention was favored by higher clay and organic matter contents  
67 (McAvoy et al., 1994; Küchler and Schnaak, 1997; Boluda et al., 2010). In the case of  
68 biodegradation within the soil column, > 25% of LAS was removed, reducing percolation to deeper

69 layers.

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71 Here we focus on alcohol ethoxylates (AEOs), which are another important group of non-ionic  
72 surfactants that are commonly used in domestic and commercial detergents, household cleaners  
73 and personal care products (Droge and Hermens, 2007; Hermens and Droge, 2009). AEOs are  
74 efficiently removed (up to 99%) in wastewater treatment plants by a combination of sorption onto  
75 sludge and aerobic degradation (Szymanski et al., 2003; Wind et al., 2006), with total AEO  
76 concentrations in the effluents ranging from 0.92 to 22.7  $\mu\text{g/L}$  in Europe and North America  
77 (Eadsforth et al., 2006; Morrall et al., 2006). Once in the environment, their behavior is controlled  
78 by further sorption and degradation processes. AEOs are found dissolved and associated with  
79 particulate material, with previous field measures suggesting that up to 86% of the total measured  
80 concentration is found sorbed on suspended solids (Lara-Martín et al., 2008). Partition coefficients  
81 have been measured ranging from 40 to 7,000 L/kg (Cano and Dorn, 1996; Kiewiet et al., 1996;  
82 Van Compernelle et al., 2006; Droge et al., 2009), depending on the polarity and sorption capacity  
83 of the different AEO homologs and ethoxymers. Most of these studies characterized the sorption  
84 of these surfactants using very high concentrations, of several ppm (up to 2,000 ppm) (Podoll et  
85 al., 1987). However, in the environment, these chemicals are found at much lower concentrations,  
86 *e.g.*, in the water column they are usually in the low ppb range (Lara-Martín et al., 2011).  
87 Furthermore, most of the available information is restricted to the levels and fate of AEOs in  
88 aquatic systems (Petrovic et al., 2002; Lara-Martín et al., 2005, 2008, 2014; Corada-Fernández et  
89 al., 2011, 2013). Natural abatement of AEOs occur in terrestrial environments due to a  
90 combination of biodegradation and sorption, delaying the arrival of these contaminants in aquifers  
91 and minimizing, but not eliminating (García et al., 2019), the risk of groundwater pollution (Durán-  
92 Álvarez et al., 2014; Corada-Fernández et al., 2017). However, current knowledge of their  
93 behavior in soils is limited. Some of the works carried out in soils have been mainly focus on the  
94 ability of non-ionic surfactants to solubilize relatively insoluble contaminants such as  
95 hydrocarbons (*e.g.*, Lee et al., 2000; Haigh, 1996). The sorption of non-ionic surfactants in soils  
96 have been characterized for following a Langmuir isotherm (Lee et al., 2005) and two-sorption  
97 regimes (Adeel and Luthy, 1995). A competition between AEOs homologs for adsorption sites has  
98 also been described (Droge and Hermens, 2010). A high correlation with soil mineral properties  
99 has been observed (Lee et al., 2005), as well as a much greater role of the chain length of AEOs in

100 the interaction (association) with humic substances as compared to the ethoxylate group member  
101 (McAvoy and Kerr, 2001).

102  
103 Understanding the coupling of natural abatement and transport of AEOs in soils is determinant for  
104 predicting their mobility and leaching to groundwater. We here describe laboratory experiments  
105 to quantify the fate and transport of AEOs in two natural soils, using a variety of AEOs to represent  
106 the wide structural diversity of commercial products, which include compounds with different  
107 numbers of carbon atoms in their hydrophobic alkyl chain bonded to a hydrophilic chain with a  
108 varying number of ethoxylate units. By combining batch and infiltration experiments, we are able  
109 to compare parameters estimated in the absence of flow in batch experiments, with those obtained  
110 under realistic environmental flow conditions in infiltration experiments. The use of a numerical  
111 solver to simulate the non-equilibrium chemical transport of the targeted compounds allowed us  
112 to parameterize the sorption of AEOs under real environmental conditions.

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## 114 **2. Materials and methods**

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### 116 **2.1. Characterization of soil samples**

117 Soil samples were taken from two locations, locally known as Rio Viejo (soil core 1) and  
118 Guadalcaçín (soil core 2), in a region where agricultural soils are irrigated with reclaimed water  
119 and amended using sludge, after being composted (Guadalete River basin, SW Spain) (Biel-Maeso  
120 et al., 2017). Soil physical properties were determined with respect to depth using a series of  
121 techniques and standards (Table 1). The grain size distribution was determined according to ASTM  
122 D 422-63 and Gee and Or (2002). Soil textural class was defined according to the USDA system.  
123 Bulk density was determined following Grossman and Reinsch (2002). Saturated hydraulic  
124 conductivity, which can be highly affected by the concentration of surfactants in the solution, was  
125 empirically determined in the laboratory (Reynolds and Elrick, 2002). Organic carbon (OC)  
126 content was determined by dichromate oxidation, following Gaudette et al. (1974) and the  
127 modification proposed by El Rayis (1985).

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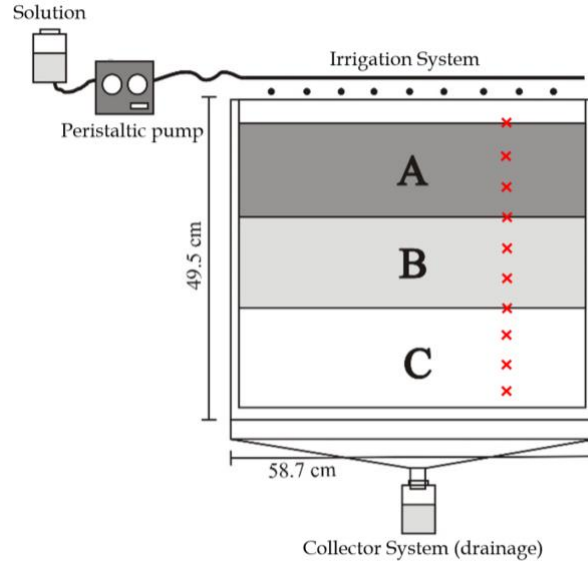
### 129 **2.2. Infiltration experiments**

130 Infiltration experiments were performed in *quasi* two-dimensional flow cells (495 mm × 587 mm

131  $\times 10$  mm, see Figure 1). One flow cell was filled for each soil sample, maintaining the natural  
132 order and thickness of their horizons and the original bulk density (Table 1). The base of each flow  
133 cell was filled with silica sand (Ottawa sand) to ensure drainage. Infiltration experiments lasted  
134 192 hours for soil core 1, and 69 hours for soil core 2, and were conducted at constant temperature  
135 (20 °C). An AEO solution consisting of a mixture of homologs (C12, C14, C16, and C18) and their  
136 corresponding ethoxymers (3, 6 and 8 EO ethoxylated groups) (Sigma-Aldrich) at 100 ng/cm<sup>3</sup> (100  
137 ppb) was injected for the duration of the experiments at a constant flow rate (0.083 and 0.43 cm<sup>3</sup>/h  
138 for soil 1 and 2, respectively) using a peristaltic pump and an evenly drip irrigation system. The  
139 goal is to characterize the general behavior of AEOs and the control of their structural diversity  
140 under different environmental conditions, including flow conditions and soil types, rather than a  
141 systematic comparison between soil types. The applied concentration of AEOs mimics previously  
142 recorded environmental conditions. The applied solution contained the same concentration of  
143 cations (SAR = 5.31) as the original interstitial water of the soil, with pH [8 – 8.3], electrical  
144 conductivity [500 – 800]  $\mu$ S/cm, and cation-exchange capacity [32 – 35] mmol/kg (Corada-  
145 Fernandez et al., 2015). Soil and water samples were collected at the same depths throughout the  
146 profile of the flow cell before and after the experiments in order to characterize the mobility of the  
147 targeted compounds. The concentration of AEOs in both phases was analyzed following Lara-  
148 Martin et al. (2012), providing measures of water content and total concentrations (sorbed +  
149 dissolved) of the contaminants at each selected depth.

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**Figure 1.** Schematic of the flow cell for the infiltration experiments. A drip irrigation system provided a solution containing a mixture of AEOs at a constant flow rate throughout the experiments. The collector system provided drainage. Soil horizons (shading) and sample points (crosses) are shown for soil core 1.

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154 **Table 1.** Physico-chemical and hydraulic properties of soil cores 1 and 2. OC is the soil organic  
 155 carbon content;  $\rho_b$  is the bulk density;  $\theta_r$ , and  $\theta_s$  are the residual and saturated water content,  
 156 respectively;  $\alpha$  is the inverse of the air entry value;  $n$  is the pore size distribution index;  $K_s$  is the  
 157 saturated hydraulic conductivity; and  $\lambda$  is the dispersivity of solute in each soil horizon.

Horizon	Depth [cm]	Texture [%]			OC [%]	$\rho_b$ [g/cm <sup>3</sup> ]	Soil type	$\theta_r$ [cm <sup>3</sup> /cm <sup>3</sup> ]	$\theta_s$ [cm <sup>3</sup> /cm <sup>3</sup> ]	$\alpha$ [1/cm <sup>1</sup> ]	$n$ [-]	$K_s$ [cm/h]	$\lambda$ [cm]
		Sand	Silt	Clay									
1A	0–19	41.71	54.68	3.61	1.1	1.3349	Silty loam	0.0343	0.3638	0.0091	1.5477	2.67	0.6
1B	19–30.5	84.42	14.58	1	0.3	1.5154	Loamy sand	0.0392	0.3739	0.0436	2.1783	8.97	1.87
1C	30.5–47.1	100	0	0	0	1.9438	Sand	0.049	0.2663	0.0295	4.036	26.23	1.3
2A	0–14	64.36	33.29	2.35	1.2	1.6499	Sandy loam	0.0277	0.3639	0.0507	1.3946	1.50	2.37
2B	14–18.5	46.4	51.88	1.72	0.6	1.6868	Silt loam	0.0252	0.427	0.0319	1.3423	0.95	3.04
2C	18.5–29.5	41.17	54.77	4.06	0.6	1.7095	Silt loam	0.0277	0.3557	0.0245	1.3515	0.66	3.04
2D	29.5–46.5	100	0	0	0	2.0098	Sand	0.0492	0.2563	0.0288	4.1103	23.62	1.3

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161 **2.3. Batch experiments**

162 Sorption isotherms were determined using conventional batch experiments following the OECD  
163 106 guidelines, as previously reported for characterizations of the sorption of AEOs onto marine  
164 sediments (Travero-Soto et al., 2014). Briefly, for each soil horizon, 0.5 g of freeze-dried soil were  
165 placed in 80 mL polypropylene tubes and stirred together with 50 mL of sterilized water containing  
166 different concentrations of AEO homologs (C12, C14, C16, and C18) and their corresponding  
167 ethoxymers (3, 6 and 8 EO ethoxylated groups) (5, 10, 25, 50, 100, and 200 ng/cm<sup>3</sup>) for 24 h. The  
168 aqueous phase was separated from the soil by centrifugation (30 min at 20,000g) and analyzed  
169 following Lara-Martin et al. (2012). Sorption of AEOs by the particulate phase was quantified as  
170 the difference between the initial concentration in solution and that measured at the end of the  
171 experiment. Control experiments were also performed to check for the biodegradation of AEO  
172 homologs, the sorption to the walls of the containers used, and the possible contribution of soils to  
173 the mass of AEO added, resulting all of them being negligible (< 1%).

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175 **2.4. Modelling reactive transport**

176 To simulate the water flow and reactive transport of the AEO homologs and ethoxymers during  
177 the infiltration experiments, the HYDRUS-1D code was used (Šimůnek et al., 2015). Flow and  
178 transport equations described below are solved by the software numerically using standard  
179 Galerkin-type linear finite element schemes subjected to appropriate initial and boundary  
180 conditions (Šimůnek et al., 2015).

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182 **2.4.1. Variably saturated flow**

183 For uniform one-dimensional flow in a partially saturated porous medium, and assuming that the  
184 gas phase does not play a significant role in the flow of the liquid phase, the equation governing  
185 the flow is given by the following modified Richards expression:

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$$187 \quad \frac{\partial \theta}{\partial t} = \frac{\partial}{\partial x} \left[ K \left( \frac{\partial h}{\partial x} + 1 \right) \right] - S, \quad (1)$$

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189 where  $\theta$  is the volumetric water content [L<sup>3</sup> L<sup>-3</sup>],  $h$  the soil pressure head [L],  $S$  the sink term [T<sup>-1</sup>],  
190  $x$  the spatial coordinate in the vertical direction [L], and  $t$  the time [T].  $K$  is the unsaturated  
191 hydraulic conductivity [L T<sup>-1</sup>], and is as follows:

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$$K(h, z) = K_s(x)K_r(h, x), \quad (2)$$

where  $K_r$  is the relative hydraulic conductivity and  $K_s$  the saturated hydraulic conductivity [ $L T^{-1}$ ].

Water retention and unsaturated hydraulic conductivity functions of each soil layer were obtained from the constitutive equation of van Genuchten–Mualem (Mualem, 1976; van Genuchten, 1980)

$$\theta(h) = \begin{cases} \theta_r + \frac{\theta_s - \theta_r}{[1 + |\alpha h|^n]^{1-1/n}} & h < 0 \\ \theta_s & h \geq 0 \end{cases} \quad (3)$$

$$K(h) = K_s S_e^l \left\{ 1 - \left[ 1 - S_e^{n/(n-1)} \right]^{1-1/n} \right\}^2, \quad (4)$$

where  $S_e$  is the effective saturation

$$S_e = \frac{\theta(h) - \theta_r}{\theta_s - \theta_r} \quad (5)$$

and  $\theta(h)$  the volumetric water content at pressure head  $h$ , *i.e.*, water retention function. The parameters  $\theta_r$  and  $\theta_s$  are the residual and saturated water content, respectively,  $\alpha$  is the inverse of the air entry value,  $n$  is the pore size distribution index, and  $l$  is a pore-connectivity parameter. In order to reduce the number of parameters,  $l$  is commonly assumed to be 0.5, *e.g.*, Mualem (1976). Initial values of these parameters were estimated using ROSETTA (Schaap et al., 2001), a pedotransfer function model that predicts hydraulic parameters from soil texture (% of sand, silt, and clay) and related data. In this case, we also consider the soil bulk density,  $\rho_b$  (Table 1).

### 2.4.2. Reactive transport

The transport equation, including the advection-dispersion processes, and degradation and sorption as reactive processes:



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$$\frac{\partial \theta C_w}{\partial t} + \frac{\partial \rho_b C_s}{\partial t} = \frac{\partial}{\partial x} \left( \theta D \frac{C_w}{\partial x} \right) - \frac{\partial q C_w}{\partial x} - \mu \theta C_w, \quad (6)$$

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 222 where  $C$  is the chemical concentration [ $M L^{-3}$ ], with subscripts  $w$  and  $s$  for the concentration in the  
 223 interstitial water and sorbed in the solids, respectively;  $q$  is the water flux [ $LT^{-1}$ ];  $\mu$  is the  
 224 degradation constant for the chemical in liquid phase [ $T^{-1}$ ]; and  $\rho_b$  is the bulk density of the soil  
 225 [ $M L^{-3}$ ].  $D$  is the dispersion coefficient [ $L^2 T^{-1}$ ] following Bear (1972):

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$$\theta D = \lambda |q| + \theta D_m \tau_w, \quad (7)$$

228  
 229 where  $D_m$  is the molecular diffusion coefficient [ $L^2 T^{-1}$ ];  $\tau_w$  is the tortuosity, computed as  $\tau_w =$   
 230  $\theta^{7/3} / \theta_s^2$  following Millington and Quirk (1961);  $|q|$  is the absolute value of flux [ $L T^{-1}$ ]; and  $\lambda$  the  
 231 longitudinal dispersivity [ $L$ ].

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 233 The isotherm of sorption that relates  $C_s$  and  $C_w$  is defined as

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$$C_s = \frac{K_d C_w^\beta}{1 + \eta C_w^\beta}, \quad (8)$$

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 237 where  $K_d$  [ $L^3 M^{-1}$ ],  $\beta$  [-] and  $\eta$  [ $L^3 M^{-1}$ ] are the coefficients of the isotherm. The isotherms of  
 238 Freundlich, Langmuir and the linear isotherm are specific cases: with  $\beta = 1$  the isotherm is that of  
 239 Langmuir, with  $\eta = 0$  the isotherm is that of Freundlich, while with both  $\beta = 1$  and  $\eta = 0$  the  
 240 isotherm is linear.

241  
 242 **2.4.3. Scaling of the hydraulic properties**

243 The effect of changes in concentration on surface tension and viscosity, and consequently on water  
 244 retention (pressure–water saturation) and hydraulic conductivity functions, were considered using  
 245 Smith and Gillham’s (1994, 1999) scale relationships. From the capillary pressure–surface tension  
 246 ratio, the effect of a solution on the pressure–saturation ratio can be predicted from the relationship  
 247 between the surface tension of pore water as a function of concentration ( $\sigma$ ) and the surface tension  
 248 for pure water ( $\sigma_0$ )

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$$\mathbf{h}(\theta, C_w) = \frac{\sigma}{\sigma_o} h(\theta, C_{w0}), \quad (9)$$

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252 where  $h(\theta, C_{w0})$  is the pressure for a water content and a reference concentration  $C_{w0}$  ( $C_{w0}$  is 0 for  
253 pure water), and  $\mathbf{h}(\theta, C_w)$  is the soil pressure head scaled to the same water content and  
254 concentration.

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256 The hydraulic conductivity as a function of concentration  $\mathbf{K}(\theta, C_w)$  is computed from the relation  
257 between viscosity of the solution and pure water as

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$$\mathbf{K}(\theta, C_w) = \frac{\nu}{\nu_o} K(\theta, C_{w0}), \quad (10)$$

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261 where  $\mathbf{K}(\theta, C_w)$  is the concentration-dependent unsaturated hydraulic conductivity obtained from  
262 the hydraulic conductivity for pure water at the same water content  $K(\theta, C_{w0})$  and according to the  
263 relative viscosity  $\nu/\nu_o$ ,  $\nu$  is the viscosity of pore water as a function of concentration and  $\nu_o$  is the  
264 viscosity for pure water.

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#### 266 **2.4.4. Modelling setup: initial and boundary conditions**

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The flow cells used for infiltration experiments were discretized with 90 equidistant nodes separated by 0.5 cm. Three and four different layers were considered for soil core 1 and 2, respectively, while the mass balance was defined for the whole system. Each soil horizon was assigned an initial water content and concentration of the homologues (C12, C14, C16, and C18) and their corresponding ethoxymers (3, 6 and 8 EO ethoxylated groups). We assumed no hysteresis in the water retention functions. As boundary conditions for the water flow, constant flow at the surface ( $q = 0.083$  mL/min and  $q = 0.43$  mL/min for soil core 1 and 2, respectively) and free drainage at the bottom were imposed. No evaporation was considered at the upper limit. For transport, variable concentration and free drainage were assumed at the upper and lower limit, respectively. A direct simulation was performed to solve unsaturated flow in both infiltration experiments. The inverse method was applied to parameterize the reactive transport processes, in particular the sorption process ( $K_d$ ,  $\beta$  and  $\eta$ , Eq. 8), and compare the values obtained under actual

279 infiltration conditions with those inferred from batch experiments.

280  
281 The parameterization of the reactive transport of the AEOs was completed with the dispersivity  
282 ( $\lambda$ ) for each soil horizon, which was obtained from the literature for the same texture and scale,  
283 *i.e.*, soil horizon thickness (Table 1) (Vanderborght and Vereecken, 2007). The same molecular  
284 diffusion coefficient  $D_m = 1.008 \times 10^{-2}$  cm<sup>2</sup>/h (Song et al., 2006) was used for all contaminants,  
285 and a degradation constant  $\mu$  (1/h) for each of them (Hermens and Droge, 2009) (Table 2). The  $\mu$   
286 values used were slightly higher (*i.e.*, shorter half-life) than the ones provided for similar  
287 compounds (*e.g.*, linear alcohol ethoxylates) in a large variety of soils (Knaebel et al., 1990;  
288 Federle et al., 1997), although within the same order of magnitude. Note that for the numerical  
289 simulations  $\mu$  includes natural decay and biodegradation. The first order sorption rate coefficient  
290 ( $k$ ) for one site or two sites non-equilibrium sorption, *i.e.*, the mass transfer coefficient for solute  
291 exchange between mobile and immobile liquid regions, was estimated as having an average value  
292 of  $k = 6.5$  1/h, with a small variability between surfactants; therefore, the same value was used for  
293 all of them.

294  
295 **Table 2.** Degradation constant  $\mu$  of the alcohol ethoxylates used in the experiments (from Hermens  
296 and Droge, 2009).

Hydrocarbon chain	EO group	$\mu$
		[1/h]
C12	EO3	0.1733
	EO6	0.0866
	EO8	0.0578
C14	EO3	0.1733
	EO6	0.0866
	EO8	0.0866
C16	EO3	0.1733
	EO6	0.0866
	EO8	0.0866
C18	EO3	0.0866
	EO6	0.1733
	EO8	0.1733

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298 The transport regime was characterized by two dimensionless numbers, the Péclet number and the  
299 Damköhler number. The Péclet number (Pe) represents the relative importance of advective ( $\tau_a$ )  
300 and diffusive ( $\tau_d$ ) effects during transport. Here, we compute it as  $Pe = \tau_d/\tau_a = (u/D_m)\Delta x$  (El-

301 Kadi and Ge, 1993), where  $u$  is the mean water velocity ( $u = q/\theta$ ), and  $\Delta x$  the spatial  
302 discretization (0.5 cm in this case). The relative importance of transport to chemical reactions is  
303 measured by the Damköhler number ( $Da$ ), representing the ratio of advection ( $\tau_a$ ) to reaction ( $\tau_r$ )  
304 timescales,  $Da = \tau_a/\tau_r$ . The reaction timescale is defined by the kinetics of sorption ( $k$ ) in well-  
305 mixed conditions  $\tau_r = 1/(C_{wo} k)$  (Connors 1990). Chemical reactions can thus be classified as  
306 mixing-driven (or mixing-limited), when the reaction is fast compared to advection ( $Da \gg 1$ ), or  
307 kinetics-driven ( $Da \ll 1$ ).

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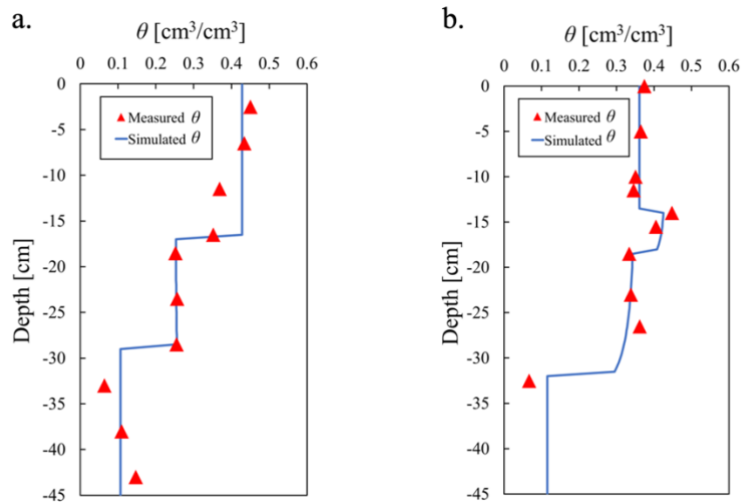
### 309 **3. Results**

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#### 311 **3.1. Model calibration: flow and reactive transport**

312 Simulations using HYDRUS-1D with the ROSETTA initial hydraulic parameter estimates yielded  
313 water content profiles for both soil cores in the infiltration experiments that were in good  
314 agreement with the experimental data (Figure 2). Transitions between soil horizons are clearly  
315 identified with abrupt changes in water content. The use of parameter optimization routines of  
316 HYDRUS-1D to calibrate the soil hydraulic parameters did not improve the goodness-of-fit of the  
317 direct simulations. The influence of surfactant structures on the equilibrium surface properties, and  
318 sorption behaviors at the air–water interface have been investigated systematically (Kanokkarn et  
319 al., 2017). At very low concentrations, AEOs are maintained almost at the same surface tension  
320 equilibrium level as pure water. For the surfactant concentration used in these experiments, the  
321 impact on surface tension is negligible. Consequently, no changes in the hydraulic properties by  
322 the presence of surfactants were considered.

323

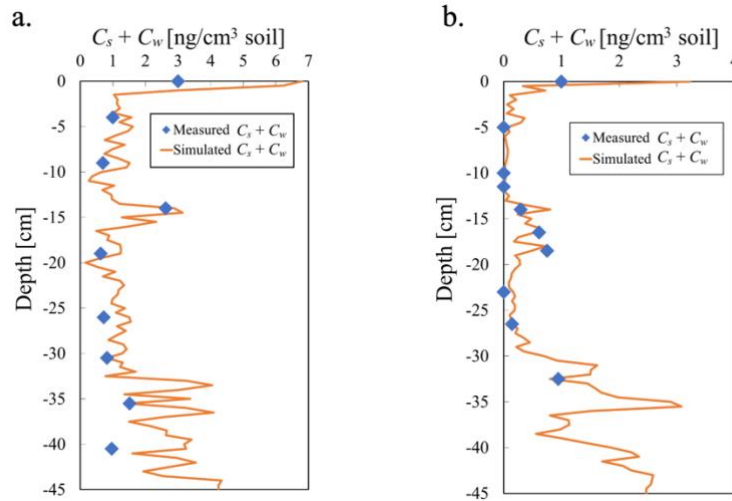


**Figure 2.** Soil water content  $\theta$  [ $\text{cm}^3/\text{cm}^3$ ] with depth measured in the infiltration experiments (triangles) and simulated using HYDRUS-1D with the ROSETTA hydraulic parameter estimates (solid line). Values are shown for soil core 1 (a) and soil core 2 (b), 192 and 69 hours, respectively, after the start of the experiments.

324

325 The set of hydraulic parameters was subsequently used to parametrize, using optimization routines  
 326 of HYDRUS-1D, the isotherm of sorption (Eq. 8) for each surfactant in each soil horizon. The  
 327 average  $Pe$  and  $Da$  were both less than 1, so that an equilibrium or quasi-equilibrium model was  
 328 assumed, *i.e.*, the sorption process was considered to be a fast-reversible reaction. The reactive  
 329 transport of the twelve targeted AEOs in each flow cell was simulated to characterize their sorption  
 330 under realistic conditions. Figure 3 shows a final total concentration ( $C_s + C_w$ ) profile for  
 331 C14AEO8 as an example. Profiles for the other compounds are shown in Figure S1 and S2,  
 332 Supplementary Material.

333



**Figure 3.** Total concentration ( $C_s + C_w$ ) [ $\text{ng}/\text{cm}^3$  of soil] of C14AEO8 measured at different depths in the infiltration experiments (rhomboids) and simulated using HYDRUS-1D (solid line). Values are shown for soil core 1 (a) and soil core 2 (b), 192 and 69 hours, respectively, after the start of the experiments.

334

335 Overall, there was good agreement between the measured concentrations at the different depths in  
 336 the infiltration experiments and the HYDRUS-1D predictions at the same depths (Table 3). The  
 337 use of the coefficient of determination ( $R^2$ ) was discarded because it is insensitive to additive and  
 338 proportional differences between the model simulations and observations, *i.e.*, large values of  $R^2$   
 339 can be obtained even when the model-simulated values differs considerably in magnitude and  
 340 variability from the observed ones. Instead, a dimensionless measure, the coefficient of efficiency  
 341 ( $E$ ), also called Nash-Sutcliffe model efficiency coefficient (Nash and Sutcliffe, 1970), can provide  
 342 a relative assessment of the model performance. The root mean square error ( $RMSE$ ) was  
 343 systematically greater than the mean absolute error ( $MAE$ ), indicating the presence of outlier  
 344 values. As a consequence, we employ a modified coefficient of efficiency ( $E'$ ), in which the errors  
 345 and differences (between measured and simulated) are given their appropriate weighting, rather  
 346 than being inflated by their squared values (Legates and McCabe, 1999; Ritter and Muñoz-  
 347 Carpena, 2013). The criteria is as follow:  $E' = 1$  when the model fits the data perfectly;  $E' = 0$   
 348 when the model provides predicted values that are as accurate as using the mean of the  
 349 observations; and  $E' < 0$  when the model predictions are worse than using the mean of the  
 350 observations. Values of  $E'$  for our model indicated a very good fit for soil water content

351 predictions, and an acceptable fit for the total concentration ( $C_s + C_w$ ) predictions for each  
 352 compound, with some close to 0 and a few lower than 0 (Table 3).

353  
 354 **Table 3.** Goodness-of-fit measures for simulations and experimental data. -: non-computed;  $O$ :  
 355 observation;  $\bar{O}$ : mean of observations;  $P$ : predicted value;  $j$ : total number of data points.

Soil core	$\theta$	C12			C14			C16			C18			
		EO3	EO6	EO8	EO3	EO6	EO8	EO3	EO6	EO8	EO3	EO6	EO8	
1	<sup>a</sup> MAE	0.03	1.17	1.57	2.08	0.15	0.67	1.47	-	1.27	-	-	3.83	5.71
	<sup>b</sup> RMSE	0.04	1.35	1.82	3.31	0.22	0.91	2.28	-	1.78	-	-	4.93	7.46
	<sup>c</sup> E'	0.76	-0.59	-0.94	0.02	0.34	-0.16	-0.11	-	-0.20	-	-	0.02	0.25
2	<sup>a</sup> MAE	0.02	-	1.65	8.84	0.14	0.62	0.36	0.15	0.53	0.60	0.12	1.03	4.43
	<sup>b</sup> RMSE	0.02	-	1.98	18.75	0.23	1.29	0.73	0.23	0.63	0.73	0.14	1.61	8.86
	<sup>c</sup> E'	0.68	-	-1.53	-8.18	0.11	-1.23	0.02	-0.02	0.28	0.53	0.31	0.53	0.35

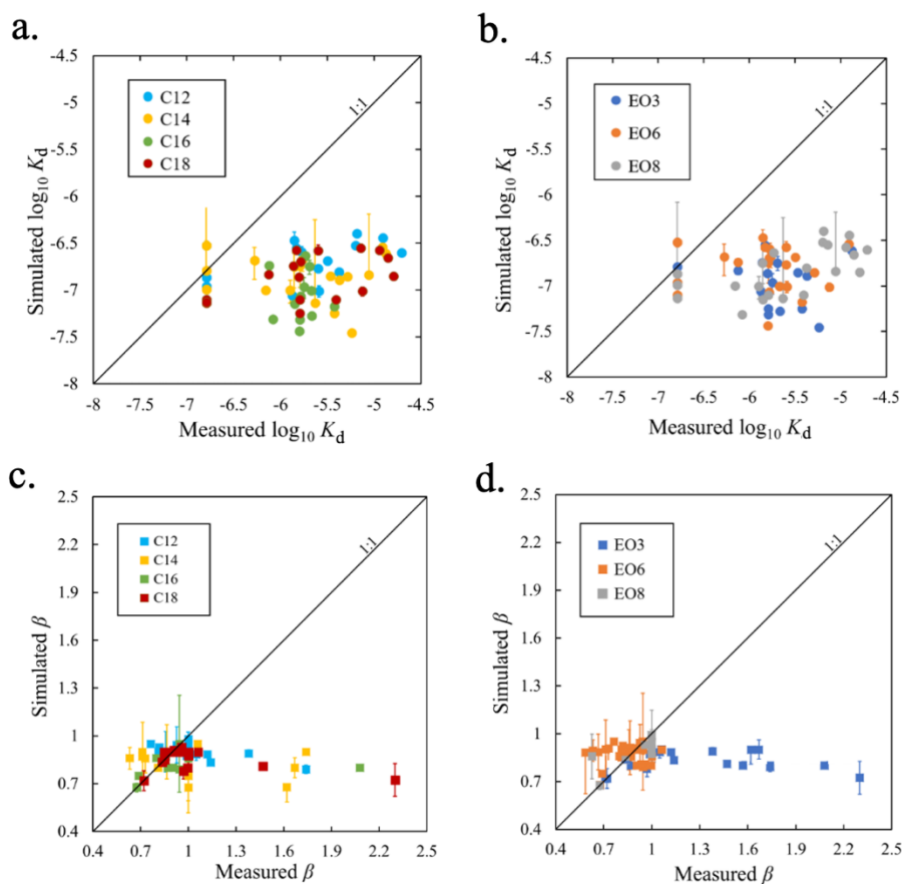
356 <sup>a</sup>Mean absolute error  $MAE = \frac{\sum_{i=1}^j |O-P|}{j}$

357 <sup>b</sup>Root mean square error  $RMSE = \sqrt{\frac{1}{j} \sum_{i=1}^j (O-P)^2}$

358 <sup>c</sup>Modified coefficient of efficiency  $E' = 1 - \frac{\sum_{i=1}^j |O-P|}{\sum_{i=1}^j |O-\bar{O}|}$

359  
 360 **3.2. Sorption isotherms: measured vs. simulated**  
 361 In both batch experiments and numerical simulations, the Freundlich isotherm was the best  
 362 reproducing the sorption process of the AEOs, in agreement with previous studies (Brownawell et  
 363 al., 1997; John et al., 2009). Values of  $K_d$ , describing the coefficient of the sorption isotherm, for  
 364 C12, C14 and C18 from batch experiments ranged from  $1.62 \times 10^{-7}$  to  $1.96 \times 10^{-5}$  cm<sup>3</sup>/ng, while  
 365 values for C16 ranged from  $8.51 \times 10^{-7}$  to  $3.8 \times 10^{-6}$  cm<sup>3</sup>/ng (Figure 4a). In contrast,  $K_d$  values  
 366 obtained from simulations had a narrower range, with values between  $3.72 \times 10^{-8}$  and  $4 \times 10^{-7}$   
 367 cm<sup>3</sup>/ng. When considered as a function of the number of ethoxylated groups, for batch  
 368 experiments, EO3 shows a narrower range than EO6 and EO8, between  $7.47 \times 10^{-7}$  and  $5.89 \times 10^{-7}$   
 369 cm<sup>3</sup>/ng, but, as for carbon chain length, the simulated  $K_d$  value was very similar for all of them  
 370 (Figure 4b). In general, simulated  $K_d$  values were between 1 and 2 orders of magnitude smaller  
 371 than those measured in batch experiments.

372



**Figure 4.** (a,b) Values of  $K_d$  [ $\text{cm}^3/\text{ng}$ ] (expressed as  $\log_{10} K_d$ ) from simulation of infiltration experiments plotted against values from batch experiments, with colors representing (a) the length of the carbon chain (C12, blue; C14, yellow; C16, green; and C18, red), and (b) the number of ethoxylated groups (EO3, blue; EO6, orange; and EO8, gray). (c,d) Values of  $\beta$  from simulation of infiltration experiments plotted against values from batch experiments, with colors representing (c) the length of the carbon chain (C12, blue; C14, yellow; C16, green; and C18, red), and (d) the number of ethoxylated groups (EO3, blue; EO6, orange; and EO8, gray). Error bars indicate 95% confidence intervals for the calibrated parameters.

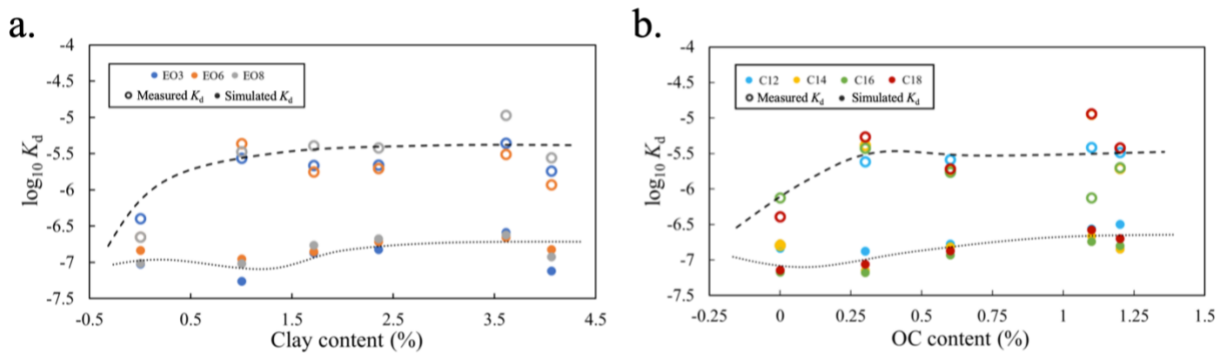
373  
 374 Values of  $\beta$  obtained from batch experiments as a function of the length of the carbon chain range  
 375 from 0.6 to 2, while those from the simulations range from 0.6 to 0.95 (Figure 4c). Overall, similar  
 376  $\beta$  values were obtained from batch experiments and simulation of the infiltration experiments,  
 377 although there were deviations from the line 1:1, most noticeable for values for ethoxymers with  
 378 3 EO groups (Figure 4d) derived from batch experiments.  
 379



### 3.3. Dependence of $K_d$ on clay and organic carbon

A dependence of sorption on the soil clay and organic carbon content has been reported in previous works (Yun-Hwei, 2000; Traverso-Soto et al., 2014), with the following relationships: (i) sorption increases with the number of ethoxylated groups as clay content increases, and (ii) sorption increases with the carbon chain length as organic carbon content increases. In our batch experiments, in contrast, there was an almost stable  $K_d$  value of around  $4 \times 10^{-6} \text{ cm}^3/\text{ng}$  for clay content  $> 1\%$ , regardless of the number of ethoxylated groups (Figure 5a). Similarly,  $K_d$  values reached an asymptote of  $3.16 \times 10^{-6} \text{ cm}^3/\text{ng}$  for organic carbon content  $> 0.3\%$ , regardless of the carbon chain length (Figure 5b). An almost constant value of  $K_d$  as function of clay and organic carbon content, although of lower magnitude, was observed for the simulated values ( $K_d \sim 1 \times 10^{-7} \text{ cm}^3/\text{ng}$ ).

391



**Figure 5.** (a) Average  $\log_{10} K_d$  values measured in batch experiments (empty circles) and simulated from the infiltration experiments (filled circles) as a function of clay content (%), plotted according to the number of ethoxylated groups: EO3 (blue), EO6 (orange) and EO8 (gray). (b) Average  $\log_{10} K_d$  values measured in batch experiments (empty circles) and simulated from the infiltration experiments (filled circles) as a function of organic carbon content (OC %), plotted according to the carbon chain length: C12 (blue), C14 (yellow), C16 (green) and C18 (red). The dashed and dotted lines represent the moving average for batch and simulated  $K_d$  values, respectively.

392

## 393 4. Discussion

394

395 The direct flow model, *i.e.*, using hydraulic parameters estimated from ROSETTA, captured well  
396 the hydrodynamics in both infiltration experiments. A calibration by inverse method of the

397 hydraulic parameters (using the available water content measurements) did not improve the fit.  
398 This, along with the plausibility of the model parameters, indicated the appropriateness of the flow  
399 parameterization (Figure 2). To assess the appropriateness of the adopted transport-related  
400 parameters, a sensitivity analysis for the dispersivity values taken from the literature  
401 (Vanderborght and Vereecken, 2007) demonstrated the low impact on the conservative and  
402 reactive transport results. Note that the ranges of dispersivity values used were smaller than one  
403 order of magnitude due to the thickness of the soil horizons and the numerical spatial discretization  
404 adopted.

405  
406 HYDRUS-1D (Šimůnek et al., 2015) has been extensively used to solve sorption and the coupled  
407 transport in pore domains with different advective velocities, providing accurate simulations when  
408 compare with a number of numerical models (Vanderborght et al., 2005). The reactive transport  
409 model was based on the flow and conservative transport parameterization. In this case, the inverse  
410 method was used to characterize the sorption process for each surfactant under flow experimental  
411 conditions. Note that, due to the low concentrations used, the impact of surfactants on the hydraulic  
412 properties was discarded (*e.g.*, Kanokkarn et al., 2017). The kinetics of sorption and degradation  
413 (Table 2) were preestablished based on laboratory determinations and literature, respectively.  
414 Sorption kinetics (first order sorption rate coefficient) determined experimentally resulted very  
415 similar to previous estimations for similar compounds. The degradation constant values used (from  
416 Hermens and Droge, 2009) were slightly higher than the ones provided for similar compounds  
417 (*e.g.*, linear alcohol ethoxylates) and for a large variety of soils (Knaebel et al., 1990; Federle et  
418 al., 1997). This resulted in a slightly shorter half-life, *i.e.*, faster decay, which was expected in our  
419 soils as they have been previously exposed to surfactants and other sewage derived chemicals, and  
420 the microbial community might be already acclimated to this type of contaminants. Similar to the  
421 water flow model, the performance of the calibrated model was evaluated both in terms of  
422 goodness-of-fit to available total concentration (sorbed + dissolved) measurements and plausibility  
423 of the model parameters. Although the fit of the model for each surfactant was not as good as for  
424 soil water content, the reactive transport model captured quite well the shape of the total  
425 concentration profiles. Important differences between observed and simulated values were,  
426 however, systematically found for the sampling point at the surface (0 cm depth). This point lies  
427 at the interface between the applied water and the soil surface, and generally presents a high total

428 AEO concentration, both experimentally and numerically. This fact evidences the difficulties in  
429 capturing the processes occurring at the atmosphere–soil boundary layer.

430  
431 The obtained parameterization for the sorption process from both batch experiments and  
432 simulation of the infiltration experiments can be explained from the existing heterogeneity in the  
433 fluid flow at pore scale. From the simulation of the infiltration experiments, the fitted parameter  
434  $K_d$  for each surfactant was  $\sim 1.5$  orders of magnitude smaller than the values obtained from batch  
435 experiments (Travero-Soto et al., 2014). During the initial imbibition phase no fingering (unstable  
436 flow) was observed. However, once steady-state conditions were reached, unsaturated flow in soil  
437 is still very heterogeneous, with the coexistence of high velocity regions (preferential paths) and  
438 low velocity regions or stagnation zones (De Gennes 1983; Jiménez-Martínez et al., 2015, 2017).  
439 This double flow structure (Holzner et al., 2015) reduces the surface contact between the  
440 contaminant and the solid particles, and therefore reduces the sorption capacity. For the other  
441 Freundlich isotherm parameter,  $\beta$ , there was much less discrepancy between estimates from batch  
442 experiments and simulated results from the infiltration experiments, with the exception of values  
443 for the EO3 AEOs, for which the measured range from batch experiments was wider than the  
444 simulated one. The  $\beta$  values (systematically  $< 1$ ) of the adopted Freundlich isotherm, obtained  
445 from the simulation of the infiltration experiments, indicate an agreement with previous studies  
446 where the sorption of non-inonic surfactants in soils was described by a Langmuir isotherm (Lee  
447 et al., 2005). The low concentrations used in the infiltration experiments impeded to reach the  
448 sorption saturation. Note that Freundlich isotherms have also been determined for AEOs in other  
449 matrices such as receiving water solids (Van Compernelle et al., 2006). The comparison  
450 established here highlights the inappropriateness of using sorption parameters inferred from batch  
451 experiments in field-scale environmental studies.

452  
453 When comparison was done considering the structural diversity of AEOs, values of  $K_d$  did not  
454 differ according to the carbon chain length, either in the values deduced from the batch experiments  
455 or simulated from the infiltration experiments. By ethoxylated groups,  $K_d$  from batch experiments  
456 using EO3 ethoxymers remained grouped and with a smaller average value, while EO6 and EO8  
457 did not (Figure 4.b), having larger average values. This can be explained by the hydrogen bonding  
458 between EOs and functional groups on various soil components, which is the primary mechanism

459 accounting for the preferential sorption of long EO homologs. Sorption of individual homologs  
460 within a mixture has been found for increasing as EOs number increases (Yuan and Jafvert, 1997).  
461 Consequently, higher values were expected for groups EO6 and EO8 than for EO3. The similar  
462 values observed for the simulated  $K_d$  as a function of both carbon chain length and ethoxymers can  
463 be explained by the low concentrations used in the infiltration experiments and the fast  
464 biodegradation, which can hide these differences. Estimates of  $\beta$  from batch experiments and in  
465 the simulations did not differ according to the length of the carbon chains or the number of  
466 ethoxylated groups, except for the measured value of  $\beta$  for EO3, which had a wider range of values  
467 than for the other ethoxymers. This difference between ethoxymers was not observed in the  
468 simulated  $\beta$  values, which can be equally explained by the lower concentrations used, and specially  
469 measured as sorbed in the infiltration experiments, and hide by the fast biodegradation.

470  
471 It has been demonstrated that the sorption of non-ionic surfactants is proportional to the clay  
472 content unless the soil has a fairly high organic carbon content (Lee et al., 2005), as well as a larger  
473 affinity with organic substances as carbon chain length increases as compared with the number of  
474 ethoxylate groups (McAvoy and Kerr, 2001). For the AEOs studied, no clear dependence of  $K_d$  on  
475 clay content by number of ethoxylated groups and on organic carbon content by carbon chain  
476 length was observed (Yun-Hwei, 2000; Traverso-Soto et al., 2014). Differences existed, however,  
477 between batch experiments and simulated values in the relationship between  $K_d$  values and the two  
478 soil properties. The value of  $K_d$  reached an asymptote for clay content  $> 1\%$ , while simulated  
479 values remained more or less constant independent of the clay content. Similar behavior is  
480 observed for  $K_d$  as function of organic carbon content, with an asymptote above an organic carbon  
481 content of 0.3%. Both clay and organic carbon content of the used soils were relatively low, which  
482 can explain the no clear trend of  $K_d$  values obtained from both batch experiments and simulated  
483 from the infiltration experiments. The low clay and organic carbon content of the studied soils  
484 explains the lack of a strong correlation of sorption capacity with any of these soil properties  
485 (Figure 5). The simultaneous biodegradation of AEOs, as observed for similar surfactants  
486 (Knaebel et al., 1990) under the experimented aerobic conditions, can hide these differences.

487  
488 Of the total mass of each surfactant applied, up to 99% was sorbed and/or degraded, being sorption  
489 the dominant process in the soil core 1 (slow flow conditions), and the lower sorption in the soil

490 core 2 (fast flow conditions) was counterbalance by the significant degradation. Less than 0.5% of  
491 surfactants mass percolated through to the bottom of the flow cell. Although the sorption capacity  
492 is reduced considerably (by more than one order of magnitude) under environmental conditions  
493 with flow, thereby increasing the potential percolation depth, the rapid degradation, including due  
494 to biodegradation (Brownawell et al., 1997; Cano and Dorn, 1996), and the expected thicker  
495 unsaturated zone (more than the height of the flow cell used) minimizes the risk of the  
496 contamination of groundwater bodies by AEOs. Similar results have been obtained for anionic  
497 surfactants (*e.g.*, LAS) from percolation experiments, in which beyond a retention favored by  
498 higher clay and organic carbon contents especially for longer-chain homologs, a significant  
499 biodegradation reduced the deep percolation (Küchler and Schnaak, 1997; Boluda et al., 2010).

500

## 501 **5. Conclusions**

502

503 The methodology we apply here, combining sorption isotherms obtained from batch experiments,  
504 longitudinal distribution profiles for AEO concentrations using infiltration experiments, and  
505 numerical simulations, demonstrate the need to be cautious when using the isotherms obtained  
506 from batch experiments to assess the risk to subsurface environments imposed by non-ionic  
507 surfactants. The resulting  $K_d$  coefficients for non-ionic surfactants under flow/natural conditions,  
508 and inferred from inverse numerical simulations, were 1 to 2 orders of magnitude lower than those  
509 obtained from batch experiments, independently of the homolog (*i.e.*, carbon chain length and the  
510 number of ethoxylated groups). No clear trends for  $K_d$  as a function of clay and organic carbon  
511 content were observed, independently of the homolog. Therefore, further studies with higher  
512 concentration of contaminants, mimicking a punctual source, are recommended in order to better  
513 characterize the fate and transport of non-ionic surfactant homologs, and in particular the impact  
514 of clay and organic carbon content on the sorption process in soils, reducing the uncertainty in  
515 predictive models and the risk of contamination of groundwater bodies.

516

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526

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